REQUEST FOR NEW TABLE GAME REVIEW

PERSONAL HISTORY RECORD

Table Game Approval for Unlicensed Applicants

General Instructions

* Type or print an answer to every question, if a question does not apply to you, so state with N/A.
* If space available is insufficient, use a separate sheet and precede each answer with the appropriate title.
* Do not misstate or omit any material fact(s) as each statement made herein is subject to verification.
* Applicants must initial each page, as provided in the form’s upper right hand corner. (By placing initials on the page, the applicant is attesting to the accuracy and completeness of the information contained on that page.)

* All applicants are advised that this Personal History Record is an official document. Misrepresentation or failure to reveal requested information may be deemed as sufficient cause for the applicant to be called forward for a finding of suitability by the Nevada Gaming Commission.

* A Personal History Record must be completed by each Executive, Officer / Director and/or Equity Holder of greater than 10% or Key Employee of the developing corporation, limited liability company, partnership, etc.

Federal and State laws make it unlawful to discriminate on the basis of race, color, religion, sex, national origin, handicap or age.

Name of Game: 

Type of Business Entity: EIN: 

Business Name: EIN: 

Business Address: 

City: State: Zip Code: 

NEVADA GAMING CONTROL BOARD
1919 College Parkway, P.O. Box 8003, Carson City, Nevada 89702
555 E. Washington Avenue, Suite 2600, Las Vegas, Nevada 89101
3650 S. Pointe Circle, P.O. Box 31109, Laughlin, Nevada 89028
557 W. Silver Street, Suite 207, Elko, Nevada 89801
9790 Gateway Drive, Suite 100, Reno, Nevada 89521
750 Pilot Road, Suite I, Las Vegas, Nevada 89119

SANDRA D. MORGAN, Chairwoman
TERRY JOHNSON, Member
PHIL KATSAROS, Member

STEVE SISOLAK
Governor
A. PERSONAL INFORMATION:

<table>
<thead>
<tr>
<th>Last Name</th>
<th>First</th>
<th>Middle</th>
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Alias (e.g., nicknames, name changes, maiden name):

<table>
<thead>
<tr>
<th>Residence</th>
<th>Apt. #</th>
<th>City/Town</th>
<th>State</th>
<th>Zip Code</th>
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Mailing Address:  
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Business Address:  
Suite # | City/Town | State | Zip Code |
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Telephone Numbers (w/area code):  
Residence  
Business  
Cellular  
Fax  
E-Mail Address:  

<table>
<thead>
<tr>
<th>Date of Birth</th>
<th>Place of Birth (City, County, State)</th>
<th>Social Security Number</th>
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Sex:  
Female

Eye Color:  
Hair Color:  
Height:  
Weight:  

B. ARREST, DETENTIONS, LITIGATIONS, AND ARBITRATIONS:

1. □ Yes* □ No Have you ever been arrested, detained, charged, indicted, or summoned to answer for any criminal offense or violation for any reason whatsoever, regardless of the disposition of the event? (Except minor traffic citations)

   *If you answered yes, please provide details in the space listed below:

   (Please include all arrests, including those in which you were not convicted. Continue on a separate sheet of paper if necessary.)

<table>
<thead>
<tr>
<th>Date of Arrest</th>
<th>Charge</th>
<th>Arresting Agency</th>
<th>City &amp; State</th>
<th>Disposition &amp; Date</th>
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2. □ Yes* □ No Has a criminal indictment, information, or complaint ever been returned against you, for which you were not arrested or in which you were named as an un-indicted co-party?

   *If yes, please furnish details on a separate sheet of paper.

3. □ Yes* □ No Have you ever been questioned or deposed by a city, county, state, federal law enforcement agency or commission or committee? (Except the Nevada Gaming Control Board and Commission.)

   *If yes, please furnish details on a separate sheet of paper.
4. ☐ Yes* ☐ No Have you ever been subpoenaed to appear or testify before a federal, state, or county grand jury on a civil or criminal matter? Or before a Board or Commission on an administrative issue?

*If yes, please furnish details on a separate sheet of paper.

5. ☐ Yes* ☐ No Have you ever had a civil or criminal record expunged or sealed by a court order?

*If yes, when? ______________________ City, County and State ______________________

6. ☐ Yes ☐ No Have you ever received a pardon or deferred prosecution for any criminal offense?

*If yes, when? ______________________ City, County and State ______________________

7. ☐ Yes* ☐ No Have you, as an individual, member of a partnership, owner, director, or officer of a corporation, ever been a party to a lawsuit as either a plaintiff or defendant or arbitration as either a claimant or respondent?

*If you answered yes, please provide details in the space listed below:

<table>
<thead>
<tr>
<th>Plaintiff/Defendant or Claimant/Respondent</th>
<th>Date Filed</th>
<th>Court and Case Number</th>
<th>City, County &amp; State</th>
<th>Disposition &amp; Date</th>
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8. ☐ Yes* ☐ No Has any general partnership, business venture, sole proprietorship, or closely held corporation (while you were associated with it as an owner, officer, director, or partner) been a party to a lawsuit, arbitration, or bankruptcy?

*If yes, give details below and on a separate page if necessary.

<table>
<thead>
<tr>
<th>Name of Entity</th>
<th>Type of Entity</th>
<th>Approximate Date(s) of Lawsuit/Arbitration/Bankruptcy</th>
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</thead>
</table>
C. RESIDENCES:

List your current and previous residence:

<table>
<thead>
<tr>
<th>From – To</th>
<th>Street:</th>
<th>Apt. #:</th>
<th>City/Town:</th>
<th>State:</th>
<th>Zip Code:</th>
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<th>Apt. #:</th>
<th>City/Town:</th>
<th>State:</th>
<th>Zip Code:</th>
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<td>(month/year)</td>
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D. EMPLOYMENT:

List your current employment:

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<tr>
<th>Month &amp; Year (From – To)</th>
<th>Name/Mailing Address of Employer/Business</th>
<th>Reason for Leaving</th>
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<th>Title</th>
<th>Name of Supervisor</th>
<th>Description of Duties</th>
<th>Gaming Related Position?</th>
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<td>□ Yes □ No</td>
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E. OTHER:

1. Have you ever held a privileged or professional license in any state, including but not limited to the following types of licenses:

- [ ] Liquor
- [ ] Accountant
- [ ] Doctor
- [ ] Racing Horse/Trainer or Manager
- [ ] Boxing Promoter
- [ ] Lawyer
- [ ] Jockey
- [ ] Real Estate Broker or Salesman
- [ ] Other

State where, years licensed and the nature of any disciplinary actions taken against you:

<table>
<thead>
<tr>
<th>State</th>
<th>Years Licensed</th>
<th>Nature of Disciplinary Actions</th>
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2. □ Yes* □ No Have you ever held a financial interest in a gambling venture, including a race track, dog track, race horse or dog, lottery, casino, bookmaking operation, or pari-mutuel operation, OUTSIDE the state of Nevada?

*If yes, state when and where and give names and locations of businesses in which you are/were involved and the names and addresses of all partners:

3. □ Yes* □ No Have you ever appeared before a licensing agency or similar authority in or outside the state of Nevada, for any reason whatsoever?

*If yes, indicate details:

4. □ Yes* □ No Have you ever been refused a gaming license or related finding of suitability or been a participant in any group which has been denied a gaming license or related finding of suitability?

*If yes, state when, where and for what reason:

5. □ Yes* □ No Have you ever been granted a gaming license or been a participant in any group which has been issued a gaming license by the state of Nevada?

*If yes, state type of license, name of establishment, location and period held:
6. ☐ Yes* ☐ No  Have you ever been found suitable by the state of Nevada pursuant to NRS 463.167 or been a participant in any group which has been found suitable pursuant to NRS 463.167 by the state of Nevada?

*If yes, state type of license, name of establishment, location and period held:

________________________________________________________________________

________________________________________________________________________

7. ☐ Yes* ☐ No  Have you ever been employed by the gaming industry in the state of Nevada or any other jurisdiction?

*If yes, state location, name of establishment, position and dates of employment:

________________________________________________________________________

________________________________________________________________________

8. ☐ Yes* ☐ No  Do you have any relatives associated with or employed in the gaming industry?

*If yes, indicate details:

________________________________________________________________________

________________________________________________________________________

F. CHILD SUPPORT INFORMATION:

Please mark the appropriate response:

☐ I am not subject to a court order for the support of a child.

☐ I am subject to a court order for the support of one or more children and I am in compliance with a plan approved by the district attorney or other public agency enforcing the order for the payment of the amount owed pursuant to the order; or

☐ I am subject to a court order for the support of one or more children and I am NOT in compliance with a plan approved by the district attorney or other public agency enforcing the order for the payment of the amount owed pursuant to the order.
STATE OF ____________________ ss:
COUNTY OF ____________________

I, _____________________________ being duly sworn depose and say that I have read the foregoing application and know the contents thereof; that the statements contained herein are true and correct and contain a full and true account of the information requested; that I executed this statement with the knowledge that misrepresentation or failure to reveal information requested may be deemed sufficient cause for denial of the proposed new game; that I am voluntarily submitting this application with full knowledge that Nevada Revised Statute 463.140(5) provides "any person making false oath in any matter before either the Board or Commission is guilty of perjury"; and, further, that I have familiarized myself with the contents of the Nevada Gaming Control Act, as amended, and the Regulations of the Nevada Gaming Commission as promulgated thereunder and agree to abide thereby.

I hereby expressly waive, release, and forever discharge the State of Nevada, the licensing agency, and their agents from any and all manner of action and causes of action whatsoever, which I, my administrators, or executors can, shall, or may have against the State of Nevada, the licensing agency and their agents, as a result of my applying for the approval of a new gambling game in the state of Nevada.

____________________________________
(Signature of Applicant)

SUBSCRIBED AND SWORN TO BEFORE ME

THIS _______ DAY OF ____________________, _________

________________________________________
(Signature of Notary Public)

CERTIFICATION OF FORM

Nevada Gaming Regulation 10.010 requires that every attorney, certified public accountant, or other agent who prepares this document on behalf of the applicant be properly enrolled with the Commission. Regulation 10.110 requires any such representative to certify such document. If this document was prepared by such a representative, please have that person complete the following:

I, _________________________________ do hereby certify that I am enrolled to practice before the Nevada Gaming Commission and am fully knowledgeable of my responsibilities under Regulation 10. I further certify that I have prepared this document on behalf of the applicant in conformity with the Nevada Gaming Control Act and the Regulations of the Nevada Gaming Commission.

____________________________________
(Signature of Attorney, C.P.A., or Agent)

____________________________________
(Business Address)

____________________________________
(Area Code/ Telephone)