

Group I Licensee

Regulation Effective Date Amount for 12 months ended June 30th:

6.010(5)(a)

Nonrestricted licensee having gross revenue of:

July 1, 2019	Annual gross revenue of \$6,654,000 or more
July 1, 2020	Annual gross revenue of \$6,820,000 or more
July 1, 2021	Annual gross revenue of \$6,936,000 or more

Nonrestricted licensee, whose operation consists primarily of a race book or sports pool or both:

July 1, 2019	Wagers of \$74,909,000 or more
July 1, 2020	Wagers of \$76,782,000 or more
July 1, 2021	Wagers of \$78,087,000 or more

Group II Licensee

Regulation Effective Date Amount for 12 months ended June 30th:

6.010(6)

Nonrestricted licensee having gross revenue of:

July 1, 2019	Annual gross revenue less than \$6,654,000
July 1, 2020	Annual gross revenue less than \$6,820,000
July 1, 2021	Annual gross revenue less than \$6,936,000

Nonrestricted licensee, whose operation consists primarily of a race book or sports pool or both:

July 1, 2019	Wagers less than \$74,909,000
July 1, 2020	Wagers less than \$76,782,000
July 1, 2021	Wagers less than \$78,087,000

Require Submission of Audited Financial Statements for:

Regulation Effective Date Amount for 12 months ended December 31st:

6.080(2)

Nonrestricted licensee having gross revenue of:

January 1, 2019	Annual gross revenue of \$13,307,000 or more
January 1, 2020	Annual gross revenue of \$13,640,000 or more
January 1, 2021	Annual gross revenue of \$13,872,000 or more

Nonrestricted licensee, whose operation consists primarily of a race book or sports pool or both:

January 1, 2019	Wagers of \$98,865,000 or more
January 1, 2020	Wagers of \$101,337,000 or more
January 1, 2021	Wagers of \$103,060,000 or more

Require Submission of Reviewed Financial Statements for:

Regulation Effective Date Amount for 12 months ended December 31st:

6.080(3)

Nonrestricted licensee having gross revenue of:

January 1, 2019 Annual gross revenue more than \$6,654,000 but less than \$13,307,000
January 1, 2020 Annual gross revenue more than \$6,820,000 but less than \$13,640,000
January 1, 2021 Annual gross revenue more than \$6,936,000 but less than \$13,872,000

Nonrestricted licensee, whose operation consists primarily of a race book or sports pool or both:

January 1, 2019 Wagers amount more than \$44,954,000 but less than \$98,865,000
January 1, 2020 Wagers amount more than \$46,078,000 but less than \$101,337,000
January 1, 2021 Wagers amount more than \$46,861,000 but less than \$103,060,000

Chairman may Require the Submission of Audited or Reviewed Financial Statements:

Regulation Effective Date Amount for 12 months ended December 31st:

6.080(4)

Nonrestricted licensee having gross revenue of:

January 1, 2019 Annual gross revenue of \$6,654,000 or less
January 1, 2020 Annual gross revenue of \$6,820,000 or less
January 1, 2021 Annual gross revenue of \$6,936,000 or less

Nonrestricted licensee, whose operation consists primarily of a race book or sports pool or both:

January 1, 2019 Wagers amount of \$44,954,000 or less
January 1, 2020 Wagers amount of \$46,078,000 or less
January 1, 2021 Wagers amount of \$46,861,000 or less