



STEVE SISOLAK
Governor

NEVADA GAMING CONTROL BOARD

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PHIL KATSAROS, *Member*
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REQUEST FOR NEW TABLE GAME REVIEW PERSONAL HISTORY RECORD

Table Game Approval for Unlicensed Applicants

General Instructions

Type or print an answer to every question, if a question does not apply to you, so state with N/A. If space available is insufficient, use a separate sheet and precede each answer with the appropriate title. Do not misstate or omit any material fact(s) as each statement made herein is subject to verification. Applicants must initial each page, as provided in the form's upper right hand corner. By placing initials on the page, the applicant is attesting to the accuracy and completeness of the information contained on that page.

All applicants are advised that this Personal History Record is an official document. Misrepresentation or failure to reveal requested information may be deemed as sufficient cause for the applicant to be called forward for a finding of suitability by the Nevada Gaming Commission.

A Personal History Record must be completed by each Executive, Officer / Director and/or Equity Holder of greater than 10% or Key Employee of the developing corporation, limited liability company, partnership, etc.

Federal and State laws make it unlawful to discriminate on the basis of race, color, religion, sex, national origin, handicap or age.



- ◆ Name of Game: _____
- ◆ Type of Business Entity: _____ EIN: _____
- ◆ Business Name: _____ EIN: _____
- ◆ Business Address: _____
- ◆ City: _____ State: _____ Zip Code: _____

A. PERSONAL INFORMATION:

Last Name		First		Middle	
Alias (e.g., nicknames, name changes, maiden name)					
Residence		Apt. #	City/Town	State	Zip Code
Mailing Address		Apt. #	City/Town	State	Zip Code
Business Address		Suite #	City/Town	State	Zip Code
Telephone Numbers Residence: (____) ____ - _____ Business: (____) ____ - _____ Cellular: (____) ____ - _____ Fax: (____) ____ - _____			Occupation		
			E-Mail Address		
Date of Birth		Place of Birth (City, County, State)		Social Security Number	
Sex	Eye Color	Hair Color	Height	Weight	

B. ARRESTS, DETENTIONS, LITIGATIONS, AND ARBITRATIONS:

Have you ever been arrested, detained, charged, indicted, or summoned to answer for any criminal offense or violation for any reason whatsoever, regardless of the disposition of the event? (Except minor traffic citations) Yes No

If you answered yes, please provide details in the space listed below.

Please include all arrests, including those in which you were not convicted. Continue on a separate sheet of paper if necessary.

Date of Arrest	Charge	Arresting Agency	City & State	Disposition & Date

Has a criminal indictment, information, or complaint ever been returned against you, for which you were not arrested or in which you were named as an un-indicted co-party?

Yes No

If yes, please furnish details on a separate sheet of paper.

Have you ever been questioned or deposed by a city, county, state, federal law enforcement agency or commission or committee? (Except the Nevada Gaming Control Board and Commission.) Yes No

If yes, please furnish details on a separate sheet of paper.

Have you ever been subpoenaed to appear or testify before a federal, state, or county grand jury on a civil or criminal matter? Or before a Board or Commission on an administrative issue? Yes No

If yes, please furnish details on a separate sheet of paper.

Have you ever had a civil or criminal record expunged or sealed by a court order?

Yes No

If yes, when? _____ City, County and State _____

Have you ever received a pardon or deferred prosecution for any criminal offense?

Yes No

If yes, when? _____ City, County and State _____

Have you, as an individual, member of a partnership, owner, director, or officer of a corporation, ever been a party to a lawsuit as either a plaintiff or defendant or arbitration as either a claimant or respondent?

Yes No

If yes, give details below.

Plaintiff/Defendant or Claimant/Respondent	Date Filed	Court and Case Number	City, County & State	Disposition & Date

Has any general partnership, business venture, sole proprietorship, or closely held corporation (while you were associated with it as an owner, officer, director, or partner) been a party to a lawsuit, arbitration, or bankruptcy?

Yes No

If yes, give details below and on a separate page if necessary.

Name of Entity	Type of Entity	Approximate Date(s) of Lawsuit/Arbitration/Bankruptcy

C. RESIDENCES:

List your current and previous residence:

Month/Year From - To	Street address, City, State, Zip Code

D. EMPLOYMENT:

List your current employment:

Month & Year (From – To)	Name/Mailing Address of Employer/Business	Reason for Leaving

Title	Name of Supervisor	Description of Duties	Gaming Related Position? <input type="checkbox"/> Yes <input type="checkbox"/> No

E. OTHER:

Have you ever held a privileged or professional license in any state, including but not limited to the following types of licenses:

- | | | |
|--|--|---|
| <input type="checkbox"/> Liquor | <input type="checkbox"/> Boxing Promoter | <input type="checkbox"/> Real Estate Broker |
| <input type="checkbox"/> Accountant | <input type="checkbox"/> Lawyer | <input type="checkbox"/> or Salesman |
| <input type="checkbox"/> Doctor | <input type="checkbox"/> Jockey | <input type="checkbox"/> Other |
| <input type="checkbox"/> Race Horse/
Race Dog Owner | <input type="checkbox"/> Trainer or
Manager | |

State where, years licensed and the nature of any disciplinary actions taken against you:

Have you ever held a financial interest in a gambling venture, including a race track, dog track, race horse or dog, lottery, casino, bookmaking operation, or pari-mutuel operation, OUTSIDE the state of Nevada? Yes No

If yes, state when and where and give names and locations of businesses in which you are/were involved and the names and addresses of all partners:

Have you ever appeared before a licensing agency or similar authority in or outside the state of Nevada, for any reason whatsoever? Yes No

If yes, indicate details:_____

Have you ever been refused a gaming license or related finding of suitability or been a participant in any group which has been denied a gaming license or related finding of suitability? Yes No

If yes, state when, where and for what reason:_____

Have you ever been granted a gaming license or been a participant in any group which has been issued a gaming license by the state of Nevada? Yes No

If yes, state type of license, name of establishment, location and period held:

Have you ever been found suitable by the state of Nevada pursuant to NRS 463.167 or been a participant in any group which has been found suitable pursuant to NRS 463.167 by the state of Nevada? Yes No

If yes, state type of license, name of establishment, location and period held:

Have you ever been employed by the gaming industry in the state of Nevada or any other jurisdiction? Yes No

If yes, state location, name of establishment, position and dates of employment:

Do you have any relatives associated with or employed in the gaming industry?

Yes No

If yes, indicate details:_____

F. CHILD SUPPORT INFORMATION:

Please mark the appropriate response:

- I am not subject to a court order for the support of a child.
- I am subject to a court order for the support of one or more children and am in compliance with a plan approved by the district attorney or other public agency enforcing the order for the payment of the amount owed pursuant to the order; or
- I am subject to a court order for the support of one or more children and am NOT in compliance with a plan approved by the district attorney or other public agency enforcing the order for the payment of the amount owed pursuant to the order.



STATE OF _____

SS.

COUNTY OF _____

I, _____, being duly sworn, depose and say that I have read the foregoing application and know the contents thereof; that the statements contained herein are true and correct and contain a full and true account of the information requested; that I executed this statement with the knowledge that misrepresentation or failure to reveal information requested may be deemed sufficient cause for denial of the proposed new game; that I am voluntarily submitting this application with full knowledge that Nevada Revised Statute 463.140(5) provides "any person making false oath in any matter before either the Board or Commission is guilty of perjury"; and, further, that I have familiarized myself with the contents of the Nevada Gaming Control Act, as amended, and the Regulations of the Nevada Gaming Commission as promulgated thereunder and agree to abide thereby.

I hereby expressly waive, release, and forever discharge the State of Nevada, the licensing agency, and their agents from any and all manner of action and causes of action whatsoever, which I, my administrators, or executors can, shall, or may have against the State of Nevada, the licensing agency and their agents, as a result of my applying for the approval of a new gambling game in the state of Nevada.

(Signature of Applicant)

SUBSCRIBED AND SWORN TO BEFORE ME

THIS _____ DAY OF _____, _____

(Signature of Notary Public)

CERTIFICATION OF FORM

Nevada Gaming Regulation 10.010 requires that every attorney, certified public accountant, or other agent who prepares this document on behalf of the applicant be properly enrolled with the Commission. Regulation 10.110 requires any such representative to certify such document. If this document was prepared by such a representative, please have that person complete the following:

I, _____, do hereby certify that I am enrolled to
(Representative's Name)
practice before the Nevada Gaming Commission and am fully knowledgeable of my responsibilities under Regulation 10. I further certify that I have prepared this document on behalf of the applicant in conformity with the Nevada Gaming Control Act and the Regulations of the Nevada Gaming Commission.

(Signature of Attorney, C.P.A., or Agent)

(Business Address)

(Area Code/ Telephone)