

INTERNAL CONTROL SYSTEM - SUBMISSION SUMMARY FORM

Name of Licensee/Applicant: _____

Location ID Number: _____

Filing Date: _____

Effective Date of System/Amendments(s): _____

Please check appropriate reason(s) for filing below:

- | | |
|--|---|
| <input type="checkbox"/> Response to Board audit / interim violation letter dated: _____ | <input type="checkbox"/> Follow-up response to CPA compliance letter dated: _____ |
| <input type="checkbox"/> A new / updated internal control system (e.g., due to a management change, expansion, a special letter from the board, New MICS released, etc.) | <input type="checkbox"/> Addition of or a change of computerized associated equipment (e.g., a change from a manual to a computerized game, a new marker system, etc.) Specify: _____ |
| <input type="checkbox"/> Amendment for MICS variation granted; Board approval letter dated: _____ | <input type="checkbox"/> Miscellaneous updates |
| <input type="checkbox"/> Annual Submission | <input type="checkbox"/> New Applicant's ICS submission |
| <input type="checkbox"/> Other (specify): _____ | |

Briefly describe below the nature of the change (attach additional pages, if needed).

MICS Section and Pages	MICS #s	Brief Description of Change

Pursuant to NGC Regulation 6.090, the following individual(s) attest that the written system of internal control satisfies the requirements of the NGC Regulation 6.090 and the MICS:

Signature of Chief Executive Officer (or comparable position) or Licensed Owner:

Print Name/Position: _____

Signature: _____

Date: _____

Signature of Chief Financial Officer (or comparable position):

Print Name/Position: _____

Signature: _____

Date: _____

¹ An applicant for a Group I license submitting a signed Internal Control System Submission Summary form meets the submission requirements of NGC Regulation 6.090(2)(d) for a written statement attesting that the system satisfies the requirements of NGC Regulation 6.090.