



Nevada Gaming Control Board
Investigations Division
Attention: Applicant Services
1919 College Parkway
Carson City, NV 89706
(775) 684-7840

APPROVAL TO PARTICIPATE IN PARI-MUTUEL COMMISSIONS INSTRUCTIONS

Business Name: _____

Individual Name: _____

Complete and return this checklist with your application. All applications must be arranged in the order listed below. A complete application will consist of the applicable documents/items listed below and any additional documents/items as may be necessary and/or required by NGC Regulations. All forms can be found on our website at gaming.nv.gov:

The following forms and items must be submitted to the Nevada Gaming Control Board.

- This checklist, completed and signed.
- [Form 1](#) Application for a Nevada Gaming License: to be submitted by individual applicants, officers, directors, members, shareholders, etc.
- A copy of all federal, state, county, and local applications previously filed by the applicant. (*Include all attachments required by state, county and city authorities*)
- Copies of all agreements with Nevada Race Books.
- An affidavit signed by the applicant indicating its intentions to comply with all provisions of [Regulation 26A.060](#) of the Nevada Gaming Commission and Nevada Gaming Control Board.
- One copy of each of the following (as applicable):
 - All Lease Agreements
 - Articles of Incorporation (Gaming language required)
 - Articles of Organization (Gaming language required)
 - Employment Contract
 - Gaming equipment/device purchase agreements
 - Joint Venture Agreement
 - Management Agreement
 - Partnership Agreement (Gaming language required)
 - Purchase/Sale Agreement
 - Stock, bonus or profit-sharing plans
 - Trust Agreement (Gaming language required)
- A complete description of the totalizator system used at the track.
- A complete list of all stockholders and/or partners showing the number of shares and/or interest held by each (include dates of birth and social security numbers). If ownership is in a publicly traded corporation, list only those people holding five percent or more of the stock.

- If the applicant has been in business for three or more fiscal years, balance sheets and profit and loss statements for the past three fiscal years, certified by independent public accounts certified or registered in the state of Nevada, must be submitted to the Board. If the entity has not been in existence for the past three fiscal years, such statements covering the period from the creation of the business to the present must be submitted.
- A check, money order or ACH/Wire in the amount of \$1,000 per applicant or entity, to cover the application fee. Checks are to be made payable to the Nevada Gaming Control Board. Cash is not accepted.
- Costs of investigation will be charged as provided by statute and regulation. The total cost of the investigation is to be borne by the applicant. This cost includes all transportation, food and lodging; plus an hourly charge for the time expended by the agent or agents assigned to the application. Before the investigation can begin the applicant must provide a deposit in an amount equal to the total estimated expenses, including the hourly charges. Hourly expenses will be charged for travel time and for the time expended while agents are engaged in the investigation process.

Each applicant needs to complete and sign a checklist attesting the information being provided to the Board is accurate and complete, agrees to cooperate with all requests, inquiries, or investigations of the Board and Commission. An applicant for a state gaming license is seeking the granting of a privilege, and the burden of proving his/her qualifications to receive such a license is at all times on the applicant. An applicant must accept any risk of adverse public notice, embarrassment, criticism, or other action, or financial loss which may result from action with respect to an application, and expressly waives any claim for damages as a result thereof.

Name

Date
