

**REGULATION 3
LICENSING: QUALIFICATIONS**

AMENDMENTS TO REGULATION 3.100

PURPOSE OF THE AMENDMENT: To implement the requirements of Assembly Bill 471 as passed by the 2005 Nevada Legislature; to establish that a employee report currently required by NGC Regulation 3.110, must also be filed by a person licensed as an Operator of a Mobile Gaming System; to increase the compensation level applicable to persons who must be included on the employee report filed with the Board, from \$75,000, to a higher amount; to take such additional action as may be necessary and proper to effectuate these stated purposes.

(Draft Date: 01/11/06)

3.100 Employee report.

1. On or before January 15 and July 15 of each year, each nonrestricted licensee, including each operator of a slot machine route, of a mobile gaming system, or of an inter-casino linked system, and each pari-mutuel systems operator shall submit an employee report to the board. The report shall identify every individual who is, or who has been since the filing of the previous report, actively engaged in the administration or supervision of the operation as follows:

(a) Any individual who is compensated in any manner in excess of **[\$75,000]** \$125,000 per annum or the ten highest compensated individuals, whichever method results in the greater number of employees. For purposes of this subsection compensation is the value of all salaries, bonuses, other taxable benefits and deferred compensation given to the employee;

(b) Any individual who may exercise discretionary authority with regard to gaming credit policy, which includes, but is not limited to those individuals who may:

(1) Approve credit limits,
(2) Approve the use of rim credit,
(3) Approve an increase of 10 percent or \$1,000, whichever is greater, over a patron's previously established credit limit, or

(4) Recommend or approve the settlement or write-off of a credit instrument;

(c) Any individual who has the authority to hire or terminate supervisory casino personnel;

(d) Any individual who has the authority to supervise or direct a shift of each gaming or security activity, including but not limited to supervision or direction of the entire pit operation, keno games, bingo games, slot machines, race book, sports pool, pari-mutuel operations, and any persons having authority to supervise or direct such persons;

(e) Any individual who may authorize or provide complimentary benefits, which are normally provided by the licensee in exchange for compensation, other than food and beverage to a casino customer;

(f) Any individual having authority or the responsibility to manage one or more of the following types of departments or functions of the nonrestricted operation including, but not limited to: the accounting department, food and beverage department, cage department, credit and collections department, personnel department, internal audit department, security department, surveillance department, entertainment department and the sales and marketing department;

(g) Any individual who has the authority to set betting lines, point spreads or betting odds; who has the authority to authorize the voiding of betting tickets; or who has the authority to approve wagers larger than the posted limits established for the book;

(h) Any individual who may enter into a contractual arrangement, which is reportable pursuant to Regulation 8.130, on behalf of and binding upon the licensee;

(i) Any individual who has been specifically represented to the board, commission, or any other federal, state, or local government agency by a licensee, officer, or director thereof as being important or necessary to the operation of the gaming establishment;

(j) All persons who individually or as part of a group formulate management policy;

(k) Any job position or individual who, upon written notification by the board, is considered by the board to be a reportable position or employee for purposes of this regulation. Subsequent to notification the specific individual must appear on all subsequent employee reports, unless notified otherwise by the board or terminated by the licensee.

2. The employee report shall include the employee's name, job position title, social security number and a complete list of those categories described herein which apply to each employee.

3. The employee report shall be confidential and may not be disclosed except upon order of the commission or pursuant to the terms of NRS 463.120.

(Effective Date: 03/23/06.)