

PROPOSED AMENDMENTS TO REGULATION 1.155

PURPOSE: To update the definition of registration to include other business entities in addition to corporations and to include registrations with the Board; and to take such additional action as may be necessary and proper to effectuate these stated purposes.

REGULATION 1 ISSUANCE OF REGULATIONS; CONSTRUCTION; DEFINITIONS

(ADOPTED May 17, 2018)

New

~~{Deleted}~~

1.155 “Registration” defined. “Registration” means:

1. ~~{a}~~ A final order of the ~~{e}~~ Commission which ~~{finds}~~ authorizes a corporation, firm, partnership, limited partnership, association, limited liability company, trust, corporation or other form of business organization not a natural person ~~{legal entity except an individual suitable}~~ to be a holding company ~~{with respect to a licensee}~~ or
2. A registration of a person, including individuals, with the Board.

Effective upon adoption.