



## NEVADA GAMING COMMISSION

1919 College Parkway, P.O. Box 8003

Carson City, Nevada 89702

(775) 684-7750

GOVERNOR BRIAN SANDOVAL

BRIAN DUFFRIN  
*Executive Secretary*

PETER C. BERNHARD, *Chairman*  
TONY ALAMO, M.D., *Member*  
JOSEPH W. BROWN, *Member*  
JOHN T. MORAN, JR., *Member*  
RANDOLPH J. TOWNSEND, *Member*

### NOTICE TO LICENSEES

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Notice # 2011-11

Issuer: Executive Secretary

**DATE:** April 08, 2011

**TO:** ALL NONRESTRICTED LICENSEES (PTC'S) AND INTERESTED PERSONS

**SUBJECT:** NOTICE OF HEARING FOR ADOPTION OF, AMENDMENTS TO  
AND OR DELETION OF REGULATION(S) OF THE NEVADA GAMING  
COMMISSION

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The Nevada Gaming Commission will hold a public hearing on or about the hour of 10:00 a.m. on Thursday, May 19, 2011, in Hearing Room 2450, 555 East Washington Avenue, Las Vegas, Nevada, for the purpose of considering amending, adopting and/or deleting of the following regulations:

- **PROPOSED AMENDMENTS TO REGULATIONS 16.115, "CONTINUOUS OR DELAYED PUBLIC OFFERINGS"; 16.300, "FRAUDULENT AND DECEPTIVE PRACTICES PROHIBITED"; 16.330, "REPORTING REQUIREMENTS"; 16.400, "POWERS OF COMMISSION"; 16.405, "BENEFICIAL OWNERS OF VOTING SECURITIES"; 16.410, "OFFICERS AND EMPLOYEES"; 16.415, "DIRECTORS"; and 16.430, "INSTITUTIONAL INVESTOR"; PROPOSED DELETION OF REGULATIONS 16.320, "LISTING ON SECURITIES EXCHANGE"; and 16.340, "FORM OF STOCK CERTIFICATES."**

**PURPOSE:** To modify the requirements necessary to obtain approval for a continuous or delayed public offering; to modify the maximum duration the Commission may approve a continuous or delayed public offering; to correct various errata; to modify, add, or remove items concerning fraudulent and deceptive practices; to modify, add, or remove requirements regarding listing on a securities exchange; to update certain reporting requirements; to delete the power of the Commission to be able to require certain statements on security certificates; to specify the Commission may require a finding of suitability, registration, or licensing regarding certain affiliated companies; to modify which officers, directors, and employees are required to apply for findings of suitability or licensure; and to take such additional action as may be necessary and proper to effectuate these stated purposes.

Notice to Licensee  
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The proposed amendments to and or deletion of regulations (s) will be considered by the Commission in accordance with the provisions of NRS 463.145 which allows that on the date and at the time and place designated, any interested person, or their duly authorized representative, or both, shall be afforded the opportunity to present statements, arguments or contentions in writing, with or without the opportunity to present the same orally.

In addition, these proposed amendments are agendaed for discussion at the Nevada Gaming Commission meeting on Thursday, April 21, 2011, in Meeting Room 100, 1919 College Parkway, Carson City, Nevada, on or about the hour of 10:00 a.m.

To obtain a copy of the proposed regulations/amendments, please call the Executive Secretary's office at (775) 684-7750, or submit a written request addressed to the Executive Secretary, Nevada Gaming Commission, PO Box 8003, Carson City, Nevada 89702-8003. The above notice and regulations are also available on the Commission's web site at [gaming.state.nv.us](http://gaming.state.nv.us).

Brian Duffrin, Executive Secretary  
NEVADA GAMING COMMISSION