

# TABLE OF CONTENTS

## REGULATION 1

### **ISSUANCE OF REGULATIONS; CONSTRUCTION; DEFINITIONS**

1.010	Promulgation, amendment, modification and repeal.
1.020	Construction.
1.030	Severability.
1.040	Definitions, words and terms; tense, number and gender.
1.050	“Act” defined.
1.055	“Automated teller machine” defined.
1.060	“Card game” defined.
1.062	“Cashable credits” defined.
1.065	“Casino” defined.
1.075	“Convenience store” defined.
1.080	“Counter game” defined.
1.085	“Counter games payout” defined.
1.090	“Counter games write” defined.
1.092	“Debit instrument” defined.
1.095	“Drop” defined.
1.100	“Drop box” defined.
1.101	“Drug store” defined.
1.103	“Electronic money transfer” defined.
1.105	“Enrollee” or “enrolled person” defined.
1.110	“Establishment” defined.
1.125	“Funds” defined.
1.130	“Grocery store” defined.
1.135	“Guaranteed draft” defined.
1.137	“Hosting center” defined.
1.139	“Independent accountant” defined.
1.140	“Jackpot payout” defined.
1.141	“Liquor store” defined.
1.143	“Payout receipt” defined.
1.145	“Premises” defined.
1.146	“Prepaid access instrument” defined.
1.147	“Progressive keno game” defined.
1.150	“Rake-off” defined.
1.155	“Registration” defined.
1.160	“Regulations” defined.
1.162	“Rim credit” defined.
1.170	“Slot machine operator’s license” defined.
1.172	“System based game” and “system based gaming device” defined.
1.174	“System supported game” and “system supported gaming device” defined.
1.180	“Table game bankroll” defined.
1.190	“Wagering voucher” defined.

## REGULATION 2

### **NEVADA GAMING COMMISSION AND NEVADA GAMING CONTROL BOARD: ORGANIZATION AND ADMINISTRATION; GAMING POLICY COMMITTEE**

- 2.010 Definitions.
- 2.020 Delegation to chairman.
- 2.030 Commission meetings.
- 2.035 Board meetings.
- 2.040 Appearances.
- 2.050 Recessed meetings.
- 2.060 Investigative hearings.
- 2.070 Service of notices in general.
- 2.080 Subpoenas.
- 2.090 Improper attempts to obtain information.
- 2.100 Appointment of committees.
- 2.110 Employment and termination of board employees.
- 2.115 Employee records.
- 2.120 Procedure for control of evidence and destruction of cheating devices.

## **REGULATION 2A**

### **DECLARATORY RULINGS**

- 2A.010 Definitions.
- 2A.020 Purpose of declaratory rulings.
- 2A.030 Petitions for declaratory rulings.
- 2A.040 Scheduling of petitions for hearing.
- 2A.050 Response to petition; regulatory comments; briefs and appearances by interested persons.
- 2A.060 Disposition of petitions for declaratory ruling.
- 2A.070 Effect of declaratory ruling upon judicial remedies.

## **REGULATION 3**

### **LICENSING: QUALIFICATIONS**

- 3.010 Unsuitable locations.
- 3.012 Ownership of rights to operate or designate operators of gaming devices.
- 3.015 Applications for restricted licenses.
- 3.020 Ownership of premises where gaming conducted.
- 3.021 [Reserved: 11/82.]
- 3.030 [Reserved: 11/82.]
- 3.040 Time requirement.
- 3.050 Financial requirements.
- 3.060 Foreign gaming.
- 3.070 Multiple licensing criteria.
- 3.080 Unsuitable affiliates.
- 3.090 Standards for commission action.
- 3.093 Licensing of a natural person under the age of twenty-one.
- 3.095 Property report. [Repealed: 7/92.]
- 3.100 Employee report.
- 3.110 Key employee.

## **REGULATION 4**

## **APPLICATIONS: PROCEDURE**

- 4.001 [Reserved: 12/82.]
- 4.010 Application general.
- 4.020 Waiver of privilege.
- 4.030 Classification of licenses, and other commission actions for which applications must be made.
- 4.040 Applications, notices, statements and reports; contents; amendments; incorporation by reference; proceedings not to have substantive implications.
- 4.050 Separate applications for each establishment.
- 4.060 Exception, slot machine operator.
- 4.070 Application and investigative fees.
- 4.080 Time limits applicable to commission action.
- 4.090 Diagram, photographs and description of primary business required by applicant for restricted location.
- 4.100 Preliminary finding of suitability. [Effective: 10/1/11.]
- 4.105 Preliminary determination of a location's suitability for the conduct of gaming.
- 4.110 Limit to number financially interested.
- 4.120 Summoning of applicants.
- 4.130 [Reserved: 12/82.]
- 4.140 Withdrawal of application.
- 4.150 Notice of hearing.
- 4.160 Recommendation and order.
- 4.170 Application after denial.
- 4.175 [Repealed: 10/03.]
- 4.180 Seasonal operations.
- 4.185 Requests for administrative approval.
- 4.190 Review of administrative approval decisions.
- 4.195 Appeal of administrative approval decisions.
- 4.700 [Repealed: 10/93.]
- 4.705 [Repealed: 10/93.]
- 4.710 [Repealed: 10/93.]
- 4.715 [Repealed: 10/93.]

## **REGULATION 4A**

### **LOTTERIES**

- 4A.010 Definitions.
- 4A.100 Review of lottery approval decisions.
- 4A.110 Appeal of lottery approval decisions.

## **REGULATION 5**

### **OPERATION OF GAMING ESTABLISHMENTS**

- 5.010 Methods of operation.
- 5.011 Grounds for disciplinary action.
- 5.012 Publication of payoffs.
- 5.013 Gaming by, and issuance of gaming credit to, owners, directors, officers, and employees.
- 5.014 Criminal convictions as grounds for revocation or suspension.
- 5.015 Ownership identification on gaming devices.

- 5.020 Race horse books and sports pools. [Repealed.]
- 5.025 Operation of keno games.
- 5.030 Violation of law or regulations.
- 5.040 Investigation of conduct of licensees, generally.
- 5.045 Compliance review and reporting system.
- 5.050 Information to be furnished by licensees.
- 5.055 Reports of violations.
- 5.060 Access to premises and production of records.
- 5.070 Summoning of licensee.
- 5.080 Changing of games.
- 5.085 Unauthorized games.
- 5.090 Unlicensed games or devices.
- 5.100 Definitions.
- 5.101 Registration required.
- 5.102 Temporary registration.
- 5.103 Suspension and reinstatement of temporary registration.
- 5.104 Investigation; uniform criteria for objection; objection.
- 5.105 Duties of licensee.
- 5.106 Change of employment notice.
- 5.107 System of records: contents; confidentiality; penalties.
- 5.108 [Repealed: 11/20/03.]
- 5.109 Petition for hearing to reconsider objection to registration or to reconsider suspension or revocation of registration.
- 5.110 In-house payoff schedule.
- 5.112 Inter-casino linked payoff schedules.
- 5.115 Periodic payments.
- 5.120 Finder's fees.
- 5.130 Slot machine jackpot limits. [Repealed: 3/23/06.]
- 5.140 Collection of gaming credit.
- 5.150 Devices prohibited under NRS 465.075; exceptions.
- 5.160 Surveillance systems.
- 5.170 Programs to address problem gambling.
- 5.180 Operation of an inter-casino linked system.
- 5.190 Aggregate payout limits for gambling games.
- 5.200 Licensing and operation of a gaming salon.
- 5.210 Authorizing the imposition of a fee for admission to an area in which gaming is conducted.
- 5.215 Operation of a system supported or system based gaming device.
- 5.220 Operation of a mobile gaming system.
- 5.225 Wagering accounts.
- 5.230 Hosting center; registration required.
- 5.231 Hosting center; access to premises.
- 5.232 Hosting center; determination of suitability.
- 5.235 Hosting center; requirements on licensees utilizing hosting centers; limitations on operations at hosting center.
- 5.240 Service Providers.

### **CLUB VENUES**

- 5.300 Applicability.
- 5.305 Definitions.
- 5.310 Employees designated to monitor club venues.
- 5.320 Registration of club venue employees.
- 5.330 Security and surveillance.
- 5.335 Medical staffing requirements.
- 5.340 Independent host or promoter written agreements.
- 5.345 Registration of Independent Hosts or Promoters.

- 5.350 [Reserved: 11/15.]
- 5.360 Required policies and procedures.
- 5.370 Access to club venue and production of records.
- 5.380 Unsuitable methods of operation.

## **REGULATION 5A**

### **OPERATION OF INTERACTIVE GAMING**

- 5A.010 Scope.
- 5A.020 Definitions.
- 5A.030 License Required; Applications.
- 5A.040 Initial and Renewal License Fees.
- 5A.050 Investigative Fees.
- 5A.060 Interactive Gaming Systems.
- 5A.070 Internal Controls for Operators of Interactive Gaming.
- 5A.080 Detection and Prevention of Criminal Activities.
- 5A.090 Access to Premises and Production of Records; Revolving Investigative Fund.
- 5A.100 House Rules.
- 5A.110 Registration of Authorized Player.
- 5A.120 Interactive Gaming Accounts.
- 5A.125 Reserve Requirements.
- 5A.130 Self-Exclusion.
- 5A.135 Compensation.
- 5A.140 Acceptance of Wagers.
- 5A.145 Progressive Payoff Schedules.
- 5A.150 Information Displayed on Website.
- 5A.155 Advertising and Promotions.
- 5A.160 Suspicious Wagering Report.
- 5A.170 Gross Revenue License Fees, Attribution, Liability and Computations for Interactive Gaming.
- 5A.180 Resolution of Disputes.
- 5A.190 Records.
- 5A.200 Grounds for Disciplinary Action.
- 5A.210 Power of Commission and Board.
- 5A.220 Interactive Gaming Service Providers.
- 5A.230 Waiver of Requirements of Regulation.

## **REGULATION 6**

### **ACCOUNTING REGULATIONS**

- 6.010 Definitions.
- 6.020 Board audit procedures.
- 6.030 Procedure for reporting and paying gaming taxes and fees.
- 6.031 Transferable tax credits.
- 6.040 Accounting records.
- 6.045 On-line slot metering systems.
- 6.050 Records of ownership.
- 6.060 Record retention; noncompliance.
- 6.070 Standard financial statements.
- 6.080 Audited financial statements.

- 6.090 Internal control for Group I licensees.
- 6.100 Internal control procedures for Group II licensees.
- 6.105 Internal controls for operators of inter-casino linked systems and mobile gaming systems.
- 6.110 Gross revenue computations.
- 6.115 Uncollected baccarat commissions.
- 6.118 Mandatory disclosure provisions for credit applications and credit instruments.
- 6.120 Treatment of credit for purposes of computing gross revenue.
- 6.125 Treatment of credit instrument upon conclusion of gaming operation.
- 6.130 Mandatory count procedure.
- 6.140 Handling of cash.
- 6.150 Minimum bankroll requirements.
- 6.160 Extension of time for reporting.
- 6.170 Petitions for redetermination; procedures.
- 6.180 Claims for refunds; procedures.

## **REGULATION 6A**

### **CASH TRANSACTIONS PROHIBITIONS, REPORTING, AND RECORDKEEPING**

- 6A.010 Definitions. [Repealed: 6/30/07.]
- 6A.020 Prohibited transactions; exceptions. [Repealed: 6/30/07.]
- 6A.030 Reportable transactions. [Repealed: 6/30/07.]
- 6A.040 Multiple transactions. [Repealed: 6/30/07.]
- 6A.050 Recordkeeping requirements. [Repealed: 6/30/07.]
- 6A.060 Internal control. [Repealed: 6/30/07.]
- 6A.070 Construction. [Repealed: 6/30/07.]
- 6A.080 Funds transfer requirements. [Repealed: 6/30/07.]
- 6A.090 Structured transactions. [Repealed: 6/30/07.]
- 6A.100 [Repealed: 3/03.]
- 6A.110 Waivers. [Repealed: 6/30/07.]

## **REGULATION 7**

### **DISCIPLINARY PROCEEDINGS**

- 7.010 Applicability.
- 7.020 Definitions.
- 7.030 Service of complaint.
- 7.040 Prohibition of ex parte communications.
- 7.050 Delegation to chairman.
- 7.060 Appearance through counsel.
- 7.070 Prehearing conferences; scheduling; management.
- 7.080 Discovery: mandatory exchanges.
- 7.090 Confidential and privileged materials.
- 7.100 Discovery: depositions.
- 7.110 Subpoenas.
- 7.120 Protective orders.
- 7.130 Discovery disputes.
- 7.140 Sanctions.
- 7.150 Conduct of hearings.
- 7.160 Evidence: admissibility.

- 7.170 Evidence: authentication and identification.
- 7.180 Failure or refusal to testify.
- 7.190 Amended or supplemental pleadings.
- 7.200 Motions.
- 7.210 Continuances.
- 7.220 Defaults.
- 7.230 Decision of the commission.
- 7.240 Guidelines for imposing penalties in disciplinary actions.

## **REGULATION 7A**

### **PATRON DISPUTES**

- 7A.010 Construction.
- 7A.015 Definitions.
- 7A.020 Service.
- 7A.030 Initiation of hearing procedure; notice of hearing.
- 7A.040 Prehearing motions.
- 7A.050 Nature of hearing.
- 7A.060 Presentation of evidence.
- 7A.070 Admissibility of evidence.
- 7A.080 Subpoenas.
- 7A.090 Depositions.
- 7A.100 Official notice.
- 7A.110 Amended or supplemental pleadings.
- 7A.120 Continuances.
- 7A.130 Communications with the board.
- 7A.140 Default.
- 7A.150 Contempt.
- 7A.160 Burden of proof.
- 7A.170 Decision of the board or the hearing examiner.
- 7A.180 Rehearing.
- 7A.190 Judicial review.

## **REGULATION 8**

### **TRANSFERS OF OWNERSHIP; LOANS**

- 8.010 General.
- 8.020 Transfer of interest among licensees.
- 8.030 Transfer of interest to stranger to license.
- 8.040 Duties of corporations and agents.
- 8.050 Escrow required.
- 8.060 Participation in operations.
- 8.070 Emergency situations.
- 8.080 Application for permission to participate.
- 8.090 Permission to participate.
- 8.100 Extent of participation permitted.
- 8.110 Application for license.
- 8.120 Effect of permission to participate; withdrawal.
- 8.130 Transaction reports.

- 8.135 Finding of suitability of a person holding an option to acquire an interest in a general partnership licensee.

## **REGULATION 8A**

### **ENFORCEMENT OF SECURITY INTERESTS**

- 8A.010 Definitions.  
8A.020 Approvals required, applicability; scope of approval.  
8A.030 Application for approval to enforce security interest; investigation; recommendation of the board.  
8A.040 Enforcement of a security interest in personal property gaming collateral.

## **REGULATION 9**

### **CLOSING OF BUSINESS; DEATH OR DISABILITY; INSOLVENCY**

- 9.010 Surrender of license on closing of business; closing due to natural disasters.  
9.020 Death or disability of licensee.  
9.030 Insolvency of a licensee.

## **REGULATION 10**

### **ENROLLMENT OF ATTORNEYS AND AGENTS**

- 10.010 Eligibility to practice.  
10.020 Scope of practice.  
10.030 Qualifications for enrollment.  
10.040 Procedures for enrollment.  
10.041 Enrollment prior to October 26, 1990.  
10.050 Enrollment for a particular matter.  
10.060 Roster of enrolled agents.  
10.065 Suspension and revocation of enrollment.  
10.066 Reinstatement.  
10.070 Proof of authority.  
10.080 Effect of representation.  
10.090 Obligations of truthfulness and diligence.  
10.100 Knowledge of client's omission.  
10.110 Certification of documents.  
10.120 Duty of enrollees concerning violations.  
10.130 Professional conduct.  
10.140 [Repealed: 10/90.]  
10.150 [Repealed: 10/90.]  
10.160 [Repealed: 10/90.]  
10.170 [Repealed: 10/90.]  
10.180 [Repealed: 10/90.]  
10.190 [Repealed: 10/90.]  
10.200 [Repealed: 10/90.]



10.210 [Repealed: 10/90.]

## **REGULATION 11**

### **MISCELLANEOUS**

11.010 Officials not to hold gaming licenses or related approvals.

## **REGULATION 12**

### **CHIPS AND TOKENS**

- 12.010 Definitions.
- 12.020 Approval of chips and tokens; applications and procedures.
- 12.030 Specifications for chips and tokens.
- 12.040 Specifications for chips.
- 12.050 Specifications for tokens.
- 12.060 Use of chips and tokens.
- 12.070 Redemption and disposal of discontinued chips and tokens.
- 12.080 Destruction of counterfeit chips and tokens.
- 12.090 Promotional and tournament chips and tokens.
- 12.100 Other instrumentalities.

## **REGULATION 13**

### **LIVE ENTERTAINMENT TAX**

[Repealed 3/22/07 – See Nevada Administrative Code (NAC) Chapter 368A.]

## **REGULATION 14**

### **MANUFACTURERS, DISTRIBUTORS, OPERATORS OF INTER-CASINO LINKED SYSTEMS, GAMING DEVICES, NEW GAMES, INTER-CASINO LINKED SYSTEMS, ON-LINE SLOT METERING SYSTEMS, CASHLESS WAGERING SYSTEMS, MOBILE GAMING SYSTEMS, INTERACTIVE GAMING SYSTEMS AND ASSOCIATED EQUIPMENT; INDEPENDENT TESTING LABORATORIES**

- 14.010 Definitions.
- 14.015 Policy.
- 14.020 License required; applications; investigative fees; registration of a manufacturer or distributor of associated equipment.
- 14.021 Independent contractors; registration. [Repealed: 7/28/2011.]
- 14.0215 Determination of suitability.
- 14.023 Manufacturer's agreements with independent contractors.
- 14.024 Manufacturer's responsibilities for independent contractors.

- 14.025 Certain themes prohibited in association with gaming devices or slot machines.
- 14.030 Approval of gaming devices and the operation of new inter-casino linked systems; applications and procedures.
- 14.040 Minimum standards for gaming devices.
- 14.045 Minimum standards for inter-casino linked systems.
- 14.050 Technical standards.
- 14.060 Employment of individual to respond to inquiries from the board.
- 14.070 Board evaluation of new gaming devices.
- 14.075 Board evaluation of inter-casino linked systems.
- 14.080 Field test of new gaming devices and new inter-casino linked systems.
- 14.090 Certification by manufacturer.
- 14.100 Final approval of new gaming devices and new inter-casino linked systems.
- 14.105 Installation of a system based game or a system supported game.
- 14.110 Approval to modify gaming devices or inter-casino linked systems; applications and procedures.
- 14.120 Board evaluation of modifications.
- 14.130 Field test of modified gaming devices and modified inter-casino linked systems.
- 14.140 Final approval of modifications.
- 14.150 Conversions. [Repealed: 6/14.]
- 14.160 Duplication of program storage media.
- 14.170 Marking, registration, and distribution of gaming devices.
- 14.180 Approval for category I licensees to distribute gaming devices out of Nevada; applications and procedure; recordkeeping requirements for category II licensees; extraterritorial distribution compliance; inspection of facilities and devices.
- 14.190 Approval to sell or dispose of gaming devices.
- 14.200 Maintenance of gaming devices.
- 14.210 Approval of promotional devices; applications and procedures.
- 14.220 Summary suspension of approval of gaming devices and inter-casino linked systems.
- 14.230 Approval of new games and game variations; applications and procedures.
- 14.240 Field trials of new games and game variations.
- 14.250 Final approval of new games.
- 14.260 Approval of associated equipment; applications and procedures.
- 14.270 Board evaluation of associated equipment.
- 14.280 Field trial of associated equipment.
- 14.290 Installation of associated equipment.
- 14.300 Maintenance of associated equipment.
- 14.302 Manufacturer or distributor of associated equipment; registration of a manufacturer or distributor of associated equipment; application and procedures.
- 14.305 Manufacturer or distributor of associated equipment; determination of suitability.
- 14.310 Retention of records.
- 14.320 Sale of antique gaming devices.
- 14.330 Sale of gaming devices displayed or used in a private residence.
- 14.340 Display and marketing of gaming devices by unlicensed entities.
- 14.350 Independent testing laboratories; authority for board to register and utilize; fees.
- 14.360 Independent testing laboratories; registration requirement; qualifications.
- 14.370 Independent testing laboratories; registration; provisional registration; application and procedures; waiver.
- 14.380 Independent testing laboratories; notification and reporting requirements.
- 14.390 Independent testing laboratories; uniform protocols.
- 14.395 Independent testing laboratories; manufacturer, distributor, and operator prohibited actions.
- 14.400 Independent testing laboratories; inspection and certification results.
- 14.410 Independent testing laboratories; termination of registration; revocation of registration; retention of jurisdiction.
- 14.415 Independent testing laboratories; unsuitable method of operation.
- 14.420 Independent testing laboratories; determination of suitability.
- Technical Standards for Gaming Devices and On-Line Slot Systems.

## **REGULATION 15**

### **CORPORATE LICENSEES**

15.1594-1	Powers of commission and board.
15.1594-2	Certain investigations.
15.1594-3	Certain investigative fees.
15.1594-4	Burden of proof.
15.1594-5	Certain affiliates of corporate licensees. [Repealed: 12/11.]
15.1594-6	Prohibition with respect to ownership of corporate licensees.
15.1594-7	Prohibitions with respect to the distribution or transfer of securities.
15.1594-8	Effective dates. [Repealed 12/11]
15.430	Institutional investor.
15.482-1	Definitions; general.
15.482-2	“Associate” defined.
15.482-3	“Affiliate” defined.
15.482-4	“Control” defined.
15.482-5	“Controlled affiliate” and “controlling affiliate” defined.
15.482-6	“Own,” “hold” and “have” defined.
15.482-7	“Sale” and “sell” defined.
15.482-8	“Security” defined.
15.485-1	“Holding company” defined.
15.488-1	“Subsidiary” defined.
15.489.2-1	Waiver of requirements of regulations.
15.490.1b-1	Policy against gaming licenses for non-Nevada corporations. [Repealed: 12/11.]
15.500.1	[Repealed: 3/96.]
15.500.3-1	Public offerings by corporate licensees, holding companies and stockholders.
15.510.1-1	Beneficial ownership, granting of proxies and assignments of other interests.
15.510.1-2	Issuer dispositions subject to NRS 463.540(1).
15.510.1-3	Procedures for obtaining approvals under NRS 463.510(1) for transfers of outstanding securities.
15.510.1-4	Certain transactions prohibited—corporate licensee. [Repealed: 12/11.]
15.510.2-1	Persons who may be determined to be unsuitable for purposes of NRS 463.510(2).
15.510.2-2	Escrow of securities.
15.510.3-1	Proscribed corporate activities in respect of persons found “unsuitable” pursuant to NRS 463.510(2).
15.510.4-1	Statement required by NRS 463.510(4).
15.530-1	Individual licensing of stockholders of corporate licensee.
15.530-2	Licensing of certain payees.
15.530-3	Corporate non-compliance with NRS 463.530.
15.540-1	Licensing referred to in NRS 463.540.
15.540.1-1	Beneficial ownership.
15.540.1-2	Procedures for obtaining approvals under NRS 463.540(1) for issuance of securities.
15.550.1	Licensing referred to in NRS 463.550(1).
15.585.3-1	Persons who may be deemed unsuitable.
15.585.3-2	Escrow of securities.
15.585.4-1	Proscribed corporate activities in respect of “unsuitable” persons.
15.585.5-1	Statement required by NRS 463.585(5).
15.585.6-1	Public offerings by holding companies.
15.585.7-1	Approval by commission required for all issues or transfers by a holding company or intermediary company of its securities.

- 15.585.7-2 Commission approval required for dispositions of outstanding securities issued by holding companies or intermediary companies.
- 15.585.7-3 Certain transactions prohibited—holding company. [Repealed: 12/11.]
- 15.585.7-4 Individual stockholders of holding companies.
- 15.585.7-5 Officers and directors of holding companies.
- 15.585.7-6 Certain payees.
- 15.585.7-7 Reporting requirements for certain holding companies.
- 15.625.1 Exclusion of publicly traded corporations.

## **REGULATION 15A**

### **LIMITED PARTNERSHIP LICENSEES**

- 15A.010 Definitions.
- 15A.030 Powers of board and commission.
- 15A.040 Burden of proof.
- 15A.050 Certain affiliates of limited partnership licenses. [Repealed: 12/11.]
- 15A.060 Prohibition with respect to ownership of limited partnership licensees.
- 15A.065 Registration of certain limited partners of limited partnerships.
- 15A.070 Institutional investor.
- 15A.100 Foreign limited partnership ineligible to hold certain licenses. [Repealed: 12/11.]
- 15A.110 Required provisions in certificate of limited partnership.
- 15A.120 Public offerings by limited partnership licensees and holding companies.
- 15A.130 Assignment of interest in a security.
- 15A.140 Procedure for obtaining approval under NRS 463.567(1) for transfer of securities.
- 15A.150 Persons who may be determined to be unsuitable for purposes of NRS 463.567(2).
- 15A.160 Limited partnership non-compliance with NRS 463.569.
- 15A.170 Approval by commission required for all issues or transfers by a holding company of its securities.
- 15A.180 Commission approval required for dispositions of outstanding securities issued by holding companies.
- 15A.190 Licensing of general partners and limited partners of limited partnership holding companies.
- 15A.200 Certain payees required to be found suitable, licensed, or approved.
- 15A.210 Delayed licensing for limited partners.
- 15A.220 Eligibility for delayed licensing.
- 15A.225 Application for delayed licensing by individual limited partners.
- 15A.230 Procedure for consideration of application for delayed licensing.
- 15A.240 Information to be provided by applicant for delayed licensing.
- 15A.250 Effect of the commission's ruling on a limited partnership's application for delayed licensing.
- 15A.260 Standards.
- 15A.270 Post-approval monitoring after approval of delayed licensing.
- 15A.280 Powers of the board and commission after delayed licensing approval.
- 15A.290 Nontransferability of delayed licensing approval.
- 15A.300 Exclusion of public limited partnerships.
- 15A.310 Waiver of requirement of regulation.

## **REGULATION 15B**

### **LIMITED-LIABILITY COMPANY LICENSEES**

- 15B.010 Definitions.

- 15B.030 Powers of board and commission.
- 15B.040 Burden of proof.
- 15B.050 Certain affiliates of limited-liability company licensees. [Repealed: 12/11.]
- 15B.060 Prohibition with respect to ownership of limited-liability company licensees.
- 15B.065 Registration of certain members of limited-liability companies.
- 15B.070 Institutional investor.
- 15B.100 Foreign limited-liability company ineligible to hold certain licenses. [Repealed: 12/11.]
- 15B.110 Required provisions in articles of organization.
- 15B.120 Public offerings by limited-liability company licensees and holding companies.
- 15B.130 Assignment of interest in a security.
- 15B.140 Procedure for obtaining approval under NRS 463.5733 for transfer of interests.
- 15B.150 Persons who may be determined to be unsuitable for purposes of NRS 463.5733(2).
- 15B.160 Limited-liability company non-compliance with NRS 463.5735.
- 15B.170 Approval by commission required for all issues or transfers by a holding company of its securities.
- 15B.180 Commission approval required for dispositions of outstanding securities issued by holding companies.
- 15B.190 Licensing of managers and members of limited-liability company holding companies.
- 15B.200 Certain payees required to be found suitable, licensed, or approved.
- 15B.210 Delayed licensing for members.
- 15B.220 Eligibility for delayed licensing.
- 15B.225 Application for delayed licensing by individual members.
- 15B.230 Procedure for consideration of application for delayed licensing.
- 15B.240 Information to be provided by applicant for delayed licensing.
- 15B.250 Effect of the commission's ruling on a limited-liability company's application for delayed licensing.
- 15B.260 Standards.
- 15B.270 Post-approval monitoring after approval of delayed licensing.
- 15B.280 Powers of the board and commission after delayed licensing approval.
- 15B.290 Non-transferability of delayed licensing approval.
- 15B.300 Exclusion of public limited-liability companies.
- 15B.310 Waiver of requirement of regulation.

## **REGULATION 15C**

### **PRIVATE INVESTMENT COMPANIES**

- 15C.010 Definitions.
- 15C.020 Powers of Board and Commission.
- 15C.030 Burden of proof.
- 15C.040 Exemptions from certain requirements.
- 15C.050 Private investment companies owning or controlling applicant or licensee; duties and power of Board and Commission to investigate.
- 15C.060 Individual licensing of key executives and employees; removal from position if found unsuitable or if license is denied or revoked; suspension of suitability by Commission.
- 15C.070 Suitability of persons acquiring beneficial or record ownership of any economic security or debt security in private investment company; report of acquisition; application; penalty.
- 15C.080 Remuneration, contracts and employment prohibited for certain unsuitable or unlicensed persons.
- 15C.090 Powers of Commission.
- 15C.100 Required reports and statements; income tax return; documents filed with the Commission.
- 15C.200 Approvals required for dividends and distributions.

- 15C.210 Administrative approval required for certain transfers of economic securities in private investment companies.
- 15C.220 Reporting required for certain transfers and changes affecting economic securities in private investment companies.
- 15C.230 Commission approval required for transfers by the beneficial owners of voting securities of private investment companies.
- 15C.240 Commission approval required to issue voting securities.
- 15C.300 Penalties for noncompliance with laws and regulations.
- 15C.310 Fraudulent and deceptive practices prohibited.
- 15C.400 Exemptions.

## **REGULATION 16**

### **PUBLICLY TRADED CORPORATIONS AND PUBLIC OFFERINGS OF SECURITIES**

#### **GENERAL**

- 16.010 Definitions.
- 16.020 Burden of proof.
- 16.030 Powers of commission and board.
- 16.040 Commission review of stop orders.
- 16.050 Timing of investigations and approvals.
- 16.060 Standards for board and commission action.

#### **PUBLIC OFFERINGS**

- 16.100 Corporate licensees.
- 16.110 Public offerings of affiliated companies.
- 16.115 Continuous or delayed public offerings.
- 16.118 Public offerings by entities not presently licensees or affiliated companies.
- 16.120 Certain public offerings and stockholder approvals.
- 16.125 Approval of securities issuable on exercise of options or warrants or conversion of other securities.
- 16.130 Application for approval of public offering.
- 16.140 Coordination.

#### **MERGERS, ACQUISITIONS AND CHANGES OF CONTROL**

- 16.200 Approval of acquisitions of control.
- 16.210 Application for approval of acquisitions of control.
- 16.250 Approval of plan of recapitalization.
- 16.260 Approval of exceptional repurchase of securities.
- 16.270 Exempt transactions.
- 16.280 Application for approval of recapitalization plan or exceptional securities repurchases.

#### **MARKETS AND TRADING**

- 16.300 Fraudulent and deceptive practices prohibited.
- 16.310 Approval of proxy and information statements related to gaming.
- 16.320 Listing on securities exchange. [Repealed: 5/19/11.]
- 16.330 Reporting requirements.
- 16.340 Form of stock certificates. [Repealed: 5/19/11.]

#### **INDIVIDUALS**

- 16.400 Powers of commission.

- 16.405 Beneficial owners of voting securities.
- 16.410 Officers and employees.
- 16.415 Directors.
- 16.420 Appointments and elections.
- 16.430 Institutional investor.
- 16.440 Proscribed activities with respect to "unsuitable" persons.
- 16.450 Exemptions.

#### **TRANSITION PROVISIONS**

- 16.900 Effective date.
- 16.910 Current orders.
- 16.920 Current proceedings. [Repealed: 10/78.]

### **REGULATION 17**

#### **SUPERVISION**

- 17.010 Authority.
- 17.020 Policy.
- 17.030 Determination to seek supervisor.
- 17.040 Qualifications of supervisor.
- 17.050 Termination.
- 17.060 Distribution of earnings to former legal owners.

### **REGULATION 19**

#### **EMPLOYEE LABOR ORGANIZATIONS**

- 19.010 Authority.
- 19.020 Definitions.
- 19.030 Information required of local labor organization.
- 19.040 Information required of international labor organization. [Repealed: 5/31/90.]
- 19.050 Information required of listed labor organization personnel.
- 19.060 Exemptions.
- 19.070 Powers of commission.
- 19.080 Required revisions of list.

### **REGULATION 20**

#### **DISSEMINATORS**

- 20.005 General.
- 20.010 Definitions.
- 20.020 License required; finding of suitability for a person providing transmission services; applications.
- 20.025 Information regarding post time.
- 20.030 Rates and billings; user reports.
- 20.040 Rate changes.
- 20.050 Disseminator reports. [Repealed: 1/27/11.]

- 20.060 Records.
- 20.070 Reviewed financial statements.

## **REGULATION 21**

### **LIVE BROADCASTS**

- 21.005 General.
- 21.010 Definitions.
- 21.020 Standards for board authorization.
- 21.030 Prohibited activities.
- 21.031 Intent to submit a live broadcast proposal.
- 21.032 Live broadcast proposals.
- 21.034 Hearing panel.
- 21.035 Hearing panel members: qualifications and eligibility.
- 21.039 Notices. [Repealed: 1/27/11.]
- 21.040 Proposals for the exclusive right to disseminate a live broadcast of a racing meet to users. [Repealed: 1/27/11.]
- 21.045 Standards for approval of a live broadcast proposal.
- 21.046 Approval of live broadcast proposals.
- 21.047 Withdrawal of authorization and action following withdrawal.
- 21.050 Documents and information to be maintained or submitted following board authorization.
- 21.053 Standard revenue and expense classifications. [Repealed: 1/27/11.]
- 21.055 Unsuitable methods of operation.
- 21.060 Production.
- 21.070 Signal transmission, reception and security.
- 21.080 Procedures of users.
- 21.090 Records and reports.
- 21.100 Board monitoring and authority.
- 21.110 Live broadcast supervision.
- 21.120 Notification procedures.

## **REGULATION 22**

### **RACE BOOKS AND SPORTS POOLS**

- 22.010 Definitions.
- 22.020 License required; applications.
- 22.030 Book key employees. [Repealed: 1/1/99.]
- 22.032 Finding of suitability required to operate a call center; applications.
- 22.035 Registration of employees. [Repealed: 11/21/2013.]
- 22.037 Employees of an operator of a call center.
- 22.040 Reserve requirements.
- 22.050 Issuance and control of betting tickets.
- 22.060 Acceptance of wagers.
- 22.061 Wagers and payouts in excess of \$10,000.
- 22.062 Multiple wagers.
- 22.063 Structured wagers.
- 22.064 Required submissions to the board. [Repealed: 6/30/07.]
- 22.065 Imposition of supplemental recordkeeping and reporting requirements.
- 22.070 Grading of betting tickets. [Repealed: 1/1/99.]



- 22.080 Payment of winning wagers.
- 22.090 Parlay card wagers.
- 22.100 Computerized bookmaking systems.
- 22.110 Layoff bets.
- 22.115 Prohibition against rescission of wagers.
- 22.120 Prohibited wagers; exception for an event other than a horse race, greyhound race, or an athletic sports event.
- 22.121 Reports of suspicious wagers.
- 22.125 Wagers; terms and conditions.
- 22.130 Communications technology.
- 22.135 Use of communications devices prohibited. [Repealed: 8/21/08.]
- 22.140 Wagering communications; establishing patron wagering accounts for sports, nonpari-mutuel race, and other event wagering.
- 22.145 Account wagering systems.
- 22.147 Account wagering rules. [Repealed 5/18/17.]
- 22.150 House rules.
- 22.155 Business entity wagering.
- 22.160 Wagering account transactions.
- 22.165 Use of an operator of a call center.
- 22.170 Credit accounts. [Repealed: 9/27/05.]
- 22.180 Gross revenue computations and layoff bets.
- 22.190 Assigned agent.
- 22.195 Records and reports for users and buyers.
- 22.200 Records and forms.
- 22.210 Sunset provision. [Repealed: 8/23/01.]
- 22.220 Global Risk Management.

## **REGULATION 23**

### **CARD GAMES**

- 23.010 Authority and applicability.
- 23.020 Definitions.
- 23.025 Card game drop box procedures.
- 23.030 Sale of stakes.
- 23.040 Accounting for transactions between card table bank and card room bank.
- 23.045 Limitations on the use of card room banks and card table banks.
- 23.050 Rake-off and time buy-in.
- 23.060 Shills. [Repealed: 2/79.]
- 23.065 Restrictions on use of shills and proposition players.
- 23.070 Restrictions on other players.
- 23.080 Posting of rules.
- 23.090 Effective date.

## **REGULATION 25**

### **INDEPENDENT AGENTS**

- 25.010 Definitions.
- 25.020 Registration.
- 25.030 Determination of suitability.

- 25.040 Required reports and recordkeeping.
- 25.050 Mandatory requirements.
- 25.060 Reporting requirements for registered independent agents.

## **REGULATION 26**

### **PARI-MUTUEL WAGERING**

#### **GENERAL**

- 26.010 Policy.
- 26.020 Scope.
- 26.030 Definitions.
- 26.040 Licensing.
- 26.050 Facilities and location.
- 26.060 Commission and taxes.
- 26.070 Accounting.

#### **MANAGEMENT OF PARI-MUTUEL**

- 26.080 Operation of pari-mutuel facilities.
- 26.090 Mutuel manager.

#### **COMPUTATION EQUIPMENT AND OPERATION**

- 26.100 Electronic totalizator.
- 26.110 Totalizator failure.
- 26.120 Manual computations.

#### **WAGERS**

- 26.130 Wagers.
- 26.140 Prohibited wagers.
- 26.150 Pari-mutuel tickets.
- 26.160 Wagers by messenger.
- 26.170 Refunds on coupled entries.
- 26.180 Restriction on wagering, scratch of entrant.
- 26.190 Effect of certain wagers.
- 26.200 Daily doubles.
- 26.210 Separate pools.

#### **COMPUTATIONS AND PAYOFFS**

- 26.220 Payoff calculations.
- 26.230 Payoff—daily double.
- 26.240 Payoff—quinella.
- 26.250 Payoff—exacta.
- 26.260 Payment on wagers.
- 26.270 Errors in posting.
- 26.280 Payment for errors.

#### **MISCELLANEOUS**

- 26.290 Gaming employees.
- 26.300 Access to premises and records.
- 26.310 Records and reports.

26.320 Disciplinary actions.

## **REGULATION 26A**

### **OFF-TRACK PARI-MUTUEL WAGERING**

- 26A.010 Scope.
- 26A.020 Definitions.
- 26A.030 License required to accept off-track pari-mutuel wagers; applications.
- 26A.040 Conduct of off-track pari-mutuel wagering.
- 26A.050 [Reserved.]
- 26A.060 Approval to share in revenues; applications.
- 26A.070 Criteria for approval to share in revenue.
- 26A.080 Requirements imposed upon tracks approved to share in the revenue or otherwise receive compensation.
- 26A.090 Licensing of off-track pari-mutuel systems operator.
- 26A.100 Requirements imposed upon systems operators.
- 26A.110 Approval of off-track pari-mutuel systems; applications.
- 26A.120 Minimum technical requirements for off-track pari-mutuel systems.
- 26A.130 Operation of the off-track pari-mutuel system.
- 26A.140 Approval of agreements.
- 26A.150 Deduction of commission on wagers.
- 26A.160 Limits and conditions on approvals.
- 26A.170 Record retention; access to premises.
- 26A.180 Grounds for disciplinary action.
- 26A.190 Authority to issue orders for racing meets.
- 26A.200 Waivers.

## **REGULATION 26B**

### **OFF-TRACK PARI-MUTUEL SPORTS WAGERING AND OFF-TRACK PARI-MUTUEL OTHER EVENT WAGERING**

- 26B.010 Scope.
- 26B.020 Definition.
- 26B.030 License required to accept off-track pari-mutuel sports wagers; applications.
- 26B.040 Conduct of off-track pari-mutuel sports wagering.
- 26B.050 Approval to share in revenues; application.
- 26B.060 Criteria for licensing and approval to share in revenue.
- 26B.070 Requirements imposed upon out-of-state pari-mutuel sports books approved to share in the revenue or otherwise receive compensation.
- 26B.080 Participation in common pari-mutuel pool.
- 26B.090 Licensing of off-track pari-mutuel sports systems operator.
- 26B.100 Requirements imposed upon systems operator.
- 26B.110 Approval of off-track pari-mutuel sports system.
- 26B.120 Minimum technical requirements for off-track pari-mutuel sports systems.
- 26B.130 Operation of the off-track pari-mutuel sports system.
- 26B.140 Approval of agreements.
- 26B.150 Deduction of commission on wagers.
- 26B.160 Limits and conditions on approvals.
- 26B.170 Record retention; monthly reports; access to premises.

- 26B.180 Grounds for disciplinary action.
- 26B.190 Waivers.
- 26B.200 Gross revenue computations.
- 26B.210 Effective date of regulation.

## **REGULATION 26C**

### **OFF-TRACK PARI-MUTUEL HORSE RACE ACCOUNT WAGERING**

- 26C.005 Scope.
- 26C.010 Definitions.
- 26C.020 License required; applications.
- 26C.030 Finding of suitability required to operate a call center; applications.
- 26C.040 Registration of managers or supervisors.
- 26C.045 Employees of an operator of a call center.
- 26C.050 Reserve requirements.
- 26C.060 Recordation of wagers.
- 26C.070 Acceptance of wagers.
- 26C.071 Required submissions to the board. [Repealed: 6/30/07.]
- 26C.072 Imposition of supplemental recordkeeping and reporting requirements.
- 26C.080 Payment of winning wagers.
- 26C.090 Off-track pari-mutuel race systems.
- 26C.100 Layoff bets.
- 26C.110 Prohibition against rescission of wagers.
- 26C.120 Prohibited wagers.
- 26C.130 Wagers; terms and conditions.
- 26C.140 Communications technology.
- 26C.150 Use of an operator of a call center.
- 26C.160 Wagering communications; establishing patron wagering accounts for pari-mutuel race wagering.
- 26C.170 Account wagering systems.
- 26C.180 Account wagering rules. [Repealed 5/18/17.]
- 26C.185 Business Entity Wagering.
- 26C.190 Wagering account transactions.
- 26C.200 Gross revenue computations and layoff bets.
- 26C.210 Assigned agent.
- 26C.220 Records and forms.

## **REGULATION 27**

### **JAI ALAI**

[Repealed: 5/17/12.]

## **REGULATION 28**

### **LIST OF EXCLUDED PERSONS**

- 28.010 List of exclusion and ejection.
- 28.020 Definitions.
- 28.030 Entry of names.
- 28.040 Distribution and contents of the list.
- 28.050 [Reserved: 9/84.]
- 28.060 Notice of candidacy.
- 28.070 Hearing.
- 28.080 Petition to remove from the list.
- 28.090 Duty of licensee to exclude.

## **REGULATION 29**

### **SLOT MACHINE TAX AND LICENSE FEES**

- 29.010 Authority and applicability.
- 29.020 Definition.
- 29.030 Single slot machine.
- 29.040 Multiple slot machines.
- 29.050 Counting of new or altered slot machines.
- 29.060 Responsible person available for count.
- 29.070 Penalty for willful evasion.
- 29.080 Violation of statute or regulation.
- 29.090 Effective date.

## **REGULATION 30**

### **HORSE RACING**

#### **GENERAL PROVISIONS**

- 30.010 General authority.
- 30.020 Member, employee prohibitions.
- 30.030 Scope.

#### **DEFINITIONS**

- 30.050 Construction.
- 30.051 "Added money" defined.
- 30.052 "Age" defined.
- 30.053 "Also eligible" defined.
- 30.054 "Allowance race" defined.
- 30.055 "Appaloosa" defined.
- 30.056 "Apprentice jockey" defined.
- 30.057 "Arrears" defined.
- 30.058 "Association" defined.
- 30.059 "Association grounds" defined.
- 30.060 "Authorized agent" defined.
- 30.061 "Betting interest" defined.
- 30.062 "Bleeder" defined.
- 30.063 "Bleeder list" defined.
- 30.064 "Board" defined.
- 30.065 "Board of stewards" defined.

30.066	“Breakage” defined.
30.067	“Breeder” defined.
30.068	“Carryover” defined.
30.069	“Chairman” defined.
30.070	“Chemist” defined.
30.071	“Claiming race” defined.
30.072	“Commission” defined.
30.073	“Conditions” defined.
30.074	“Coupled entry” defined.
30.075	“Day” defined.
30.076	“Dead heat” defined.
30.077	“Declaration” defined.
30.078	“Entry” defined.
30.079	“Equipment” defined.
30.080	“Exhibition race” defined.
30.081	“Expired ticket” defined.
30.082	“Financial interest” defined.
30.083	“Flat race” defined.
30.084	“Free handicap” defined.
30.085	“Foreign substance” defined.
30.086	“Forfeit” defined.
30.087	“Furosemide” defined.
30.088	“Handicap” defined.
30.089	“Highweight handicap” defined.
30.090	“Horse” defined.
30.091	“Hypodermic injection” defined.
30.092	“In-Foal” defined.
30.093	“Inquiry” defined.
30.094	“Jockey” defined.
30.096	“Licensed veterinarian” defined.
30.0965	“Licensee” defined.
30.097	“Maiden” defined.
30.098	“Maiden race” defined.
30.099	“Manual merge” defined.
30.100	“Match race” defined.
30.102	“Minus pool” defined.
30.103	“Mixed race” defined.
30.104	“Mule” defined.
30.105	“Mutuel field” defined.
30.106	“Net pool” defined.
30.107	“Nevada-bred horse” defined.
30.108	“Nomination” defined.
30.109	“Nominator” defined.
30.110	“Objection” defined.
30.111	“Official order of finish” defined.
30.113	“Official time” defined.
30.114	“Off time” defined.
30.115	“Optional claiming race” defined.
30.116	“Outstanding ticket” defined.
30.117	“Overnight race” defined.
30.118	“Owner” defined.
30.119	“Owner’s handicap” defined.
30.120	“Paddock” defined.
30.121	“Pari-mutuel system” defined.
30.122	“Pari-mutuel wagering” defined.
30.123	“Payoff” defined.

- 30.124 "Person" defined.
- 30.125 "Place" defined.
- 30.126 "Post position" defined.
- 30.127 "Posterior digital neurectomy" defined.
- 30.128 "Post time" defined.
- 30.130 "Profit" defined.
- 30.131 "Profit split" defined.
- 30.132 "Program" defined.
- 30.133 "Protest" defined.
- 30.134 "Purse" defined.
- 30.135 "Purse race" defined.
- 30.136 "Quarter horse" defined.
- 30.137 "Quarter horse racing" defined.
- 30.138 "Race" defined.
- 30.140 "Race meet" defined.
- 30.141 "Recognized meet" defined.
- 30.142 "Restricted area" defined.
- 30.143 "Result" defined.
- 30.144 "Scratch" defined.
- 30.145 "Scratch time" defined.
- 30.147 "Security stall" defined.
- 30.148 "Show" defined.
- 30.149 "Single price pool" defined.
- 30.150 "Stable name" defined.
- 30.151 "Stakes race" defined.
- 30.153 "Starter allowance race" defined.
- 30.154 "Starter's schooling list" defined.
- 30.155 "State steward" defined.
- 30.156 "State veterinarian" defined.
- 30.157 "Subscription" defined.
- 30.158 "Systems operator" defined.
- 30.159 "Takeout" defined.
- 30.160 "Test level" defined.
- 30.161 "Test sample" defined.
- 30.162 "Thoroughbred" defined.
- 30.163 "Thoroughbred racing" defined.
- 30.164 "Totalizator" defined.
- 30.165 "Trial race" defined.
- 30.166 "Turn down shoe" defined.
- 30.167 "Walkover" defined.
- 30.168 "Weight for age" defined.
- 30.169 "Weigh in" defined.
- 30.170 "Weigh out" defined.
- 30.171 "Win" defined.
- 30.172 "Winner" defined.
- 30.173 "Winner of a certain sum" defined.
- 30.174 "Winnings" defined.
- 30.175 "Year" defined.

### **RACING ASSOCIATIONS**

- 30.190 License to conduct racing.
- 30.200 Association, officers, directors, officials to abide by law.
- 30.201 Right of entry of commission members or board employees to grounds of association; association to provide certain accommodations for board and commission.
- 30.202 Limitations on times and number of races.

- 30.203 Association to file bond.
- 30.204 Evidence of liability insurance.
- 30.205 Associations to file statement of conditions, of stakes, purses or awards and of rules.
- 30.206 Employees of association.
- 30.207 Facilities for patrons and licensees.
- 30.208 Posting of fire regulations and information concerning reporting of fires.
- 30.209 Ambulances; notification of receiving hospital.
- 30.210 Maintenance of racetracks.
- 30.211 Protective facilities and services.
- 30.212 Security on grounds; exclusion of public from paddock.
- 30.213 Credentials and passes.
- 30.214 Installation of system of communications within betting enclosure; limitations on use of telephones and telegraph during race.
- 30.215 Transmitting results of races.
- 30.216 Special races and preferences for Nevada-bred horses.
- 30.217 Certain exclusive concessions prohibited.

### **OFFICIALS OF RACE MEETS**

- 30.220 Officials required for race meets.
- 30.221 State steward responsibilities; powers; duties.
- 30.222 Board of stewards: authority; jurisdiction.
- 30.223 Board of stewards: posted hours; regular sessions.
- 30.224 Board of stewards: presence in stand required during race; substitutions.
- 30.225 Board of stewards: arrival of horses at starting gate at post time; excusing of injured horses.
- 30.226 Board of stewards: protests, complaints and fouls; replacement of trainers and jockeys; reports of and rulings on infractions.
- 30.227 State veterinarian: responsibilities; duties.
- 30.228 State veterinarian: examination of entries; report to board of stewards.
- 30.229 State veterinarian: presence in paddock and on race course; duties before race.
- 30.230 State veterinarian: duties at finish of race; power to treat or destroy injured horses.
- 30.231 Racing secretary: duties.
- 30.232 Racing secretary: compile official daily program.
- 30.233 Racing secretary: inspection of licensees and other documents; assignment of stables.
- 30.234 Racing secretary: conditions, listing of horses, posting of entries, nominations and declarations.
- 30.235 Racing secretary: collection, disposition, and record keeping of money.
- 30.238 Patrol judges: general requirements.
- 30.239 Paddock judge: duties and responsibilities.
- 30.240 Starter: duties; start of race; recommendation of disciplinary action.
- 30.241 Starter: appointment of assistants; prohibited conduct.
- 30.243 Duties of timer; official time of race; approval of apparatus for electric timing.
- 30.244 Clerk of the scales: duties.
- 30.245 Custodian of the jockey room: requirement; duties.
- 30.246 Horse identifier: duties.
- 30.247 Horsemen's bookkeeper: duties.

### **RACE MEET LICENSING OR APPROVAL**

- 30.250 Allocation of race dates.
- 30.251 Application for association to operate a race track.
- 30.253 License or approval to conduct race meet: application.
- 30.254 Race date requests.
- 30.255 License or approval to conduct race meet: considerations for issuance.
- 30.256 License or approval to conduct race meet: condition of acceptance; enforcement of regulations.
- 30.257 License or approval to conduct race meet: transfer; assignment; validity.



- 30.258 Licensee or association to submit list of officials before certain race meets; approval of substitutions; approval of officials for certain race meets.

### **PARTICIPANT LICENSING**

- 30.270 Licenses required.  
30.271 Grounds for refusal, denial, suspension revocation, or conditioning of license.  
30.272 Licensing: age requirement.  
30.273 Duration of license.  
30.274 Consent to investigation; search and seizure.  
30.275 Fingerprinting and licensing reciprocity.  
30.276 Licensing: employer responsibility.  
30.277 Concession operators.  
30.278 Conflict of interest.  
30.279 License presentation; visitor's passes.  
30.280 Knowledge of the law.

### **OWNERS, TRAINERS, JOCKEYS, AGENTS AND OTHER LICENSEES**

- 30.290 Licensing requirements for owners.  
30.291 Licensing requirements for multiple owners.  
30.292 Owners: prohibited acts.  
30.293 Stable name registration.  
30.294 Racing colors.  
30.295 Licensing requirements for trainers.  
30.296 Trainer responsibility.  
30.297 Other responsibilities of trainer.  
30.298 Restrictions on wagering trainer.  
30.299 Trainers: prohibited acts.  
30.300 Assistant trainers.  
30.301 Substitute trainers.  
30.302 Owners' authorized agents.  
30.303 Licensing requirements for jockeys.  
30.304 Jockey responsibility.  
30.305 Jockeys: suspensions.  
30.306 Jockeys: riding fees.  
30.307 Jockey betting.  
30.308 Jockey's spouse.  
30.309 Foreign jockeys.  
30.310 Jockeys: costumes; helmets.  
30.311 Apprentice jockeys.  
30.312 Jockey agents.  
30.313 Jockey agents: limit on contracts.  
30.314 Jockey agent: responsibilities.  
30.315 Jockey agents: prohibited acts.  
30.316 Jockey agent: rival claims.  
30.317 Safety helmets required when exercising horse.  
30.318 Licensing requirements for horseshoers.  
30.319 Licensing requirements for practicing veterinarians.

### **CLAIMING RACES**

- 30.330 General provisions.  
30.331 Claiming of horses.  
30.332 Racing interests in claiming races.  
30.333 Procedure for claiming.  
30.334 Prohibitions.

30.335 Transfer of claimed horses.

#### **ENTRIES AND NOMINATIONS**

30.340 Entering.  
30.341 Statement of identification of horse.  
30.342 Registration of certain horses and mules.  
30.343 Change of name of horse.  
30.344 Name of jockey to be furnished.  
30.345 Filing of statement of ownership of horse; notice of change of ownership.  
30.346 Requirements for entries and declarations.  
30.347 Limitation as to spouses.  
30.348 Coupled entries.  
30.349 Refusal of entry.  
30.350 Nominations.  
30.351 Closings.  
30.352 Cancellation, postponement or change of race.  
30.353 Number of starters in a race.  
30.354 Split or divided races.  
30.355 Also-eligible lists.  
30.356 Preferred list.  
30.357 Declarations and scratches.

#### **STAKES RACES**

30.360 Overnight race not to be deemed stakes race.  
30.361 Applications for nominations; effect of sale or death of entry; selection of weight; purses added by track.  
30.362 Deposit of fees for nomination and entry; lists of horses remaining eligible after payments; purses; awards to breeders.  
30.363 Selection of horses by trial to participate in divisions.  
30.364 Placement of horses in divisions.  
30.365 Coupling and uncoupling of entries for wagering.  
30.366 Assistant starter required for each horse.  
30.367 Refund of wagered money when starting gate fails to open.  
30.368 Distribution of purse when horses are scratched.  
30.369 Walkovers.  
30.370 Weights.  
30.371 Thoroughbred scale of weights.  
30.372 Workouts.  
30.373 Horses ineligible.  
30.374 Running of the race.  
30.375 Jockey requirements.

#### **PRIOR TO POST AND POST TO FINISH**

30.381 Display of post time.  
30.382 Schooling of horses; approval of certain entries by starter.  
30.383 Placing a horse in paddock; saddling.  
30.384 Selection of horses for the field.  
30.385 Paddock to post.  
30.386 Post to finish.  
30.387 Order of finish; photo finish and video cameras.  
30.388 Protests, objections and inquiries.  
30.389 Release and distribution of purses; payment of awards to breeders.

#### **HEALTH AND MEDICATION OF HORSES**

- 30.400 Physical condition of horse to be entered, started or stabled at a racing meet.
- 30.401 Responsibility of trainer for condition of horses.
- 30.402 Duty of owner and trainer to report illness or unusual condition of horse.
- 30.404 Anabolic steroids.
- 30.405 Foreign substances in horses prohibited; exceptions.
- 30.406 Possession of certain drugs or hypodermic devices at race track prohibited.
- 30.407 Enforcement of prohibition against possession of certain drugs or hypodermic devices; authorized searches and seizures.
- 30.408 Samples of medicines or other materials suspected of ability to affect racing condition of horses.
- 30.409 Enclosures for testing; designation of horses to be tested.
- 30.410 Presence of trainer or designated licensee required during testing; handling of specimens.
- 30.413 Effect of finding administration of illegal medication or excessive amount of authorized medication; eligibility of other horses.
- 30.414 Action by board of stewards upon finding administration of or attempt or conspiracy to administer medication without approval of board.
- 30.415 Bleeder list; powers of state veterinarian; suspension of horses that bleed a second time.
- 30.416 Confirmation of bleeder horse: endoscopic examination; certification.
- 30.417 Administration of medicine for bleeding.
- 30.418 Postmortem examinations; test samples; records; responsibility of owners and trainers.
- 30.419 Preservation of samples for future analysis.

#### **PROHIBITED ACTS**

- 30.430 Participation in race meet without license.
- 30.431 Employment of person not licensed by commission or board: penalty; reporting and investigation.
- 30.432 Certain persons prohibited from entering premises of licensees.
- 30.433 Admission of person ruled off by horse registry or racing authority.
- 30.434 Penalty for making or delivering invalid or nonnegotiable check, draft or order.
- 30.435 Entering of ineligible or disqualified horse and other fraudulent practices; making of bets for jockeys.
- 30.436 Use of unauthorized shoes; use of certain electrical or mechanical devices; tampering with horses.
- 30.438 Wagering by employee of board, commission, association, vendors, or racing officials.
- 30.439 Making or soliciting book on grounds of association.
- 30.440 Tip sheets, pamphlets and other printed matter sold at race meets.
- 30.441 Bribes, gifts, gratuities for purposes of influencing race.
- 30.442 Consumption of intoxicating liquor.

#### **PARI-MUTUEL WAGERING**

- 30.450 General provisions.
- 30.4505 Calculation of payouts and distribution of pools.
- 30.451 Records.
- 30.452 Pari-mutuel system requirements.
- 30.453 Pari-mutuel tickets.
- 30.454 Pari-mutuel ticket sales.
- 30.455 Advance performance wagering.
- 30.456 Claims for payment from pari-mutuel pool.
- 30.457 Payment for errors.
- 30.458 Betting explanation.
- 30.459 Display of betting information.
- 30.460 Cancelled contests.
- 30.461 Refunds.
- 30.462 Coupled entries and mutuel fields.
- 30.463 Pools dependent upon betting interests.

- 30.464 Prior approval required for betting pools.
- 30.465 Closing of wagering in a contest.
- 30.466 Complaints pertaining to pari-mutuel operations.
- 30.467 Licensed employees.
- 30.468 Unrestricted access.
- 30.469 Emergency situations.

**DISCIPLINARY ACTIONS**

- 30.900 Authority.
- 30.901 Fines and suspensions: imposition by board of stewards; reporting; rulings.
- 30.902 Complaints against officials; request for hearings before the board of stewards.
- 30.903 Notice of evidentiary hearing before board of stewards.
- 30.904 Evidentiary hearings before board of stewards; burden of proof.
- 30.905 Recording of evidentiary hearings; administer oaths; subpoena witnesses.
- 30.906 Evidentiary hearing procedures.
- 30.907 Order of presentation; evidentiary hearing.
- 30.908 Failure to appear; evidentiary hearing.
- 30.909 Decision; board of stewards.
- 30.910 Discipline; board of stewards.
- 30.911 Appeals: gaming control board; grounds for appeal.
- 30.912 Appeals: gaming control board; time for filing notice of appeal.
- 30.913 Appeals: gaming control board; transmit record.
- 30.914 Appeals: hearing procedure; notice of decision.
- 30.915 Appeals: Nevada gaming commission; time for filing; commission decision; judicial review.

**EFFECTIVE DATE**

- 30.950 Effective date.

**End Table of Contents**