

# PERSONAL FINANCIAL QUESTIONNAIRE

Name \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

Submitted in connection with application for Registration for:

\_\_\_\_\_  
Name of Gaming Licensee

1. Do you anticipate active participation in the management and operation of the gaming licensee?  
Yes  No  If yes, attach detailed description of activity anticipated.

2. Amount to be invested in the business \$ \_\_\_\_\_  
Percentage of ownership this will represent \_\_\_\_\_

3. Investment will be derived from the following sources:  
(Submit executed agreements for all financial transactions and documentation of investment.)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

4. Has your interest in this gaming licensee or holding company been assigned, pledged, or hypothecated to any person, firm, or corporation, or has any agreement been entered into whereby your interest is to be assigned, pledged, or sold either in part or in whole?  
Yes  No ; if yes provide additional information on page 5.

5. Have any individual, city, county, state, or Federal liens been filed against you as an individual, sole proprietor, member of a partnership, owner of a corporation, or similar capacity? Yes  No   
If yes, provide details on separate sheet.

6. Has your Federal Income Tax Return ever been audited or adjusted? Yes  No   
If yes, provide details on separate sheet.

7. Last Federal Income Tax Return was filed \_\_\_\_\_ for year \_\_\_\_\_ at

\_\_\_\_\_  
City

\_\_\_\_\_  
State

8. Do you own or control any assets or liabilities located outside the United States?    Yes     No   
 If yes, provide details on appropriate schedule or on a separate sheet.
9. Do you hold any assets in a Trust?    Yes     No   
 If yes, list Trusts and generally describe the Asset held therein.
10. Do you control, manage, or hold in trust any assets or liabilities for another person or entity?    Yes     No   
 Provide details under remarks on page 5.

11. Annual Income \$ \_\_\_\_\_

Salary .....	\$ _____
Interest .....	\$ _____
Dividends .....	\$ _____
Other (Describe) _____ .....	\$ _____
Other (Describe) _____ .....	\$ _____
Other (Describe) _____ .....	\$ _____

# STATEMENT OF ASSETS

as of \_\_\_\_\_  
Date

List all assets, both tangible and intangible, on the appropriate line below. Enter the amount as of the date of this statement.

ASSETS:	Original Cost/ Investment	Market Value
Cash on Hand.....	\$ _____	\$ _____
Cash in Financial Institutions.....	_____	_____
Accounts and Notes Receivable .....	_____	_____
Marketable Securities .....	_____	_____
Business Investments .....	_____	_____
Fixed Assets .....	_____	_____
OTHER ASSETS:		
.....	_____	_____
TOTAL ASSETS .....	\$ _____	\$ _____

## STATEMENT OF LIABILITIES

as of \_\_\_\_\_  
Date

List all liabilities on the appropriate line below. Enter the amount as of the date of this statement.

LIABILITIES:	Original Amount	Present Balance
Accounts Payable (credit cards, etc.).....	\$ _____	\$ _____
Taxes Payable.....	_____	_____
Notes Payable.....	_____	_____
Mortgages Payable.....	_____	_____
Other Liabilities.....	_____	_____
<b>TOTAL LIABILITIES.....</b>	<b>\$ _____</b>	<b>\$ _____</b>
<b>NET WORTH .....</b>		<b>\$ _____</b>
<b>CONTINGENT LIABILITIES .....</b>		<b>\$ _____</b>

