

NEVADA GAMING CONTROL BOARD

INSTRUCTIONS TO APPLICANTS FOR A LICENSE TO CONDUCT RACING

AN APPLICANT FOR A LICENSE TO CONDUCT RACING IS SEEKING THE GRANTING OF A PRIVILEGE AND THE BURDEN OF PROVING HIS QUALIFICATIONS TO RECEIVE SUCH A LICENSE IS AT ALL TIMES ON THE APPLICANT. AN APPLICANT MUST ACCEPT ANY RISK OF ADVERSE PUBLIC NOTICE, EMBARRASSMENT, CRITICISM, FINANCIAL LOSS, OR OTHER ACTION, WHICH MAY RESULT FROM ACTION WITH RESPECT TO AN APPLICATION, AND EXPRESSLY WAIVES ANY CLAIM FOR DAMAGES AS A RESULT THEREOF.

The following forms and items must be submitted to the Nevada Gaming Control Board in conjunction with your application to conduct racing. All forms can be found on our website at gaming.nv.gov:

1. Application for a License to Conduct Racing:
 - (a) Form 8 is to be submitted by corporations, partnerships, and limited liability companies.
 - (b) Form 9 is to be submitted by individual applicants, key employees, or by applicants seeking an interest in an existing licensed operation or by presently licensed applicants of a licensed corporation who are changing officer and/or director positions.
2. Form 7, Multi Jurisdictional Personal History Disclosure Form: To be filed by each individual required to be licensed or found suitable. The applicant agrees to provide any additional information the Board may require, e.g., birth certificate, military discharge papers, passports, litigation in which the applicant has been named as a plaintiff or defendant, income tax returns.
3. Form 7A, Nevada Supplemental Personal History Disclosure Form. To be filed by each individual required to be licensed or found suitable
4. Form 28 Fingerprint Receipt: Complete Form 28 and take it to any certified fingerprinting facility. Fingerprints will be taken electronically. Ensure the printing facility signs and dates the form. Applicants must submit a completed Form 28 with their application package. In the event electronic fingerprinting is not available three completed fingerprint cards for each individual applicant must be submitted. Due to the FBI's chain of custody requirements, the completed fingerprint cards must be mailed by the certified printing facility directly to the Nevada Gaming Control Board, Attn: Applicant Services, 1919 College Parkway, Carson City, NV 89706.
5. Form 28A, Fingerprint Civil Applicant Waiver. Applicants must submit a completed Form 28A with their application package. To be filed by each individual required to be licensed or found suitable.
6. Form 10, Affidavit of Full Disclosure: To be filed by each individual required to be licensed or found suitable.
7. Form 17, Release and Indemnity of All Claims: To be filed by each individual required to be licensed or found suitable.
8. Form 18, Request to Release Information: To be filed by each individual required to be licensed or found suitable. If the applicant is married, the applicant's spouse must also sign this form.
9. One copy of each of the following (as applicable):
 - (a) Partnership Agreement (Gaming language required for limited partnerships);
 - (b) Trust Agreement (Gaming language required);
 - (c) Joint Venture Agreement;
 - (d) Articles of Incorporation;
 - (e) Articles of Organization (Gaming language required);
 - (f) All Lease Agreements;
 - (g) Purchase/Sale Agreement;
 - (h) Management Agreement;
 - (i) Employment Contract;
 - (j) Stock, bonus or profit sharing plans.

10. A general description of the nature of the business of the applicant.
11. A complete list of all stockholders, members, and/or partners showing the number of shares and/or interest of record held by each.
12. If the applicant has been in business for three or more fiscal years, balance sheets and profit and loss statements for the past three fiscal years, certified by independent public accounts certified or registered in the state of Nevada, must be submitted to the Board. If the entity has not been in existence for the past three fiscal years, such statements covering the period from the creation of the business to the present must be submitted.
13. If the business entity is seeking initial licensing, a Statement of Pre-Opening Cash and First Year Cash Flow Projection (Form 6) must be submitted.
14. A check or money order in the amount of \$500 per applicant or entity, to cover the application fee. Checks are to be made payable to the Nevada Gaming Control Board.
15. Costs of investigation will be charged as provided by statute and regulation. The total cost of the investigation is to be borne by the applicant. This cost includes all transportation, food and lodging; plus an hourly charge for the time expended by the agent or agents assigned to the application. Before the investigation can begin the applicant must provide a deposit in an amount equal to the total estimated expenses, including the hourly charges. Hourly expenses will be charged for travel time and for the time expended while agents are engaged in the investigation process.

NOTICE

**AN APPLICATION MAY NOT BE WITHDRAWN WITHOUT THE
PERMISSION OF THE NEVADA GAMING CONTROL BOARD**