

NEVADA GAMING CONTROL BOARD
INSTRUCTIONS TO APPLICANTS FOR REGISTRATION AS A MINORITY EQUITY INTEREST
HOLDER (CLASS 3)

AN APPLICANT FOR A STATE GAMING REGISTRATION IS SEEKING THE GRANTING OF A PRIVILEGE, AND THE BURDEN OF PROVING HIS/HER QUALIFICATIONS TO RECEIVE SUCH A LICENSE IS AT ALL TIMES ON THE APPLICANT. AN APPLICANT MUST ACCEPT ANY RISK OF ADVERSE PUBLIC NOTICE, EMBARRASSMENT, CRITICISM, OR OTHER ACTION, OR FINANCIAL LOSS WHICH MAY RESULT FROM ACTION WITH RESPECT TO AN APPLICATION, AND EXPRESSLY WAIVES ANY CLAIM FOR DAMAGES AS A RESULT THEREOF.

REGISTRATION AS A MINORITY EQUITY INTEREST HOLDER

A registration which permits an entity or individual to own 5% or less of a corporation, limited partnership, limited liability company, or holding company of a Nevada gaming licensee pursuant to Nevada Gaming Regulations 15.530-1, 15.585.7-4, 15A.065, 15A.190, 15B.065, and 15B.190

The following forms and items must be submitted to the Nevada Gaming Control Board in conjunction with your application for Registration as a Minority Equity Interest Holder. All forms can be found on our website at gaming.nv.gov:

1. Application for a Nevada Gaming License:
 - (a) Form 1R is to be submitted by individual applicants.
 - (b) Form 2R is to be submitted by corporations, partnerships, and limited liability companies.
2. Form 4R, Personal History Record: To be filed by each equity owner of 5% or less of a Nevada gaming licensee or a holding company thereof.
3. Form 5R, Personal Financial Questionnaire: To be filed by each equity owner of 5% or less of a Nevada gaming licensee or a holding company thereof.
4. Form 28 Fingerprint Receipt: Complete Form 28 and take it to any certified fingerprinting facility. Fingerprints will be taken electronically. Ensure the printing facility signs and dates the form. Applicants must submit a completed Form 28 with their application package. In the event electronic fingerprinting is not available three completed fingerprint cards for each individual applicant must be submitted. Due to the FBI's chain of custody requirements, the completed fingerprint cards must be mailed by the certified printing facility directly to the Nevada Gaming Control Board, Attn: Applicant Services, 1919 College Parkway, Carson City, NV 89706.
5. Form 28A, Fingerprint Civil Applicant Waiver. Applicants must submit a completed Form 28A with their application package. To be filed by each individual required to be licensed or found suitable.
6. Form 10, Affidavit of Full Disclosure: To be filed by each individual required to be registered.
7. Form 17, Release and Indemnity of All Claims: To be filed by each individual or entity required to be registered.
8. Form 18, Request to Release Information: To be filed by each individual required to be registered. If the applicant is married, the applicant's spouse must also sign this form.
9. Documentation of the source of funds for the investment.

10. The registration fee for ***each*** person, corporation, partnership, or other entity is as follows:
- (A) Registrants of a Nonrestricted Licensee - \$2500 per registrant and/or entity
 - (B) Registrants of a Restricted Licensee with two (2) or less restricted locations - \$550 per registrant and/or entity
 - (C) Registrants of a Restricted Licensee with three (3) or more restricted locations - \$2500 per registrant and/or entity

NOTICE

**AN APPLICATION MAY NOT BE WITHDRAWN WITHOUT THE
PERMISSION OF THE NEVADA GAMING CONTROL BOARD**