

Konami
KCMS V3.14.5 with Advanced Incentive (ADV) and
Non-Cashable Electronic Point Redemption (EPR) Functionality
Suggested Trial Procedures

NOTE: The suggested procedures for the KCMS V3.14.5 system include steps to validate functionality relating to Player Tracking, Slot Accounting, non-cashable Advanced Incentive (ADV), and non-cashable Electronic Point Redemption (EPR).

Each day accounting or auditing personnel shall:

Meter Readings:

Select a sample of 40 EGM devices that are slot machine platforms approved for use with KCMS V3.14.5 with ADV and EPR functionality. The sample should reflect the overall floor mix.

1. For two consecutive days each week during the trial period, manually read and record the meters listed below for the sample of 40 machines. You may take system meter snaps and print the GCB Meters Report to use as a tool to verify your manual readings.
 - a. Non-Cashable Electronic Promotion In (NCEP In)
 - b. Non-Cashable Electronic Promotion Out (NCEP Out)

NOTE: The manual meter readings must be performed at a time that will minimize any timing difference between the manual readings and the system end of day meters on the meter reports. Depending upon the drop cycle for the machines, it may be necessary to read the meters a third time during the week to coincide with the machines' drop period in order to complete some of the suggested trial procedures.

2. Calculate the delta for the manual meter readings for each of the above meters and trace to the Daily Meters Report. All variances must be investigated with results documented.

Variance Reports:

1. Trace all meter amounts on the Daily Meters Report for each of the meters in the sample (NCEP In, NCEP Out) to the corresponding comparison reports listed below. Schedule any exceptions noted. Prepare a separate schedule for each meter.
 - a. NCEP In Comparison Report
 - b. NCEP Out Comparison Report

2. Add the NCEP In from the ADV Detail Report non-cashable by machine to the EPR In (NCEP In) on the EPR Detail Report by machine. Trace the total NCEP In, by machine and in grand total, to the NCEP In Comparison Report.
3. Add the NCEP Out from the ADV Detail Report non-cashable by machine to the EPR Out (NCEP Out) on the EPR Detail Report by machine. Trace the total NCEP Out, by machine and in grand total, to the NCEP out Comparison Report.
4. Review the comparison reports (NCEP In, NCEP Out) for all machines on-line with the ADV/EPR system. Investigate all variances between meter and system amounts that are not attributable to timing differences with results documented. Prepare a separate summary for each report.

ADV/EPR Reports:

1. Trace the grand total “net transfer” from the ADV Detail Report non-cashable by patron to the “non-cash used” on the Patron Electronic Promotion Liability Report.
2. Trace the “EPRIn” and “EPROut” from the EPR Detail Report by patron to the “EPRIn” and “EPROut” on the Patron Activity Summary Report.
3. Foot and cross foot the Patron Electronic Promotion Liability Report and the Patron Activity Summary Report.
4. Select a random sample of 5 players per day from the Patron Electronic Promotion Liability Report and print the Patron Advanced Incentive History – 24 Hours micro report for each player.
5. Review the Patron Advance Incentive History – 24 Hours reports from step 4 to assure that all “issued” and “expired” promotional credits are within the parameters of the incentive as recorded on the Incentive Configuration Report for that specific incentive.

Statistical Reports:

1. Add the NCEP In and NCEP Out grand totals on the ADV Detail Report non-cashable by machine for each day in the drop period and trace to the Slot Win With ADV/EPR Report “Met NCEP In” and “Met NCEP Out”.
2. On a sample basis verify the clerical accuracy of the “Actual Hold%” and combined actual hold percent computation for the period, month-to-date, year-to-date, and life to-date periods by individual machine and by denomination on the Slot Win with ADV/EPR Report. Additionally, on a sample basis verify the clerical accuracy of the

floor par calculation and projected dollar variance for the period, month-to-date, year-to-date and life-to-date (see Slot MICS #115).

3. Trace the total "Taxable Net Win" by machine for SAS 6 (and above) machines in the sample on the Slot Win with ADV/EPR Report to the "Actual Win" on the Metered/Actual Win Comparison Report. Variances between "Metered Win" and "Actual Win" must be investigated with results documented.

General

1. Review the Patron Point Adjustment Report, Online Exception Report and Adjusted Meter Report for propriety of transactions and any other unusual occurrences.
2. On a sample basis, such that each report indicated in this letter is verified at least once, foot and cross foot the system generated reports to verify the clerical accuracy of the reports.
3. The Konami system components (i.e., Ethernet switch, middleware servers and database server) must be installed in a secured location with access permitted to authorized personnel only (i.e., IT personnel, controller, casino manager, slot manager) in accordance with Information Technology MICS #1.
4. Maintain a log of system problems occurring during the trial period (i.e., any problems relating to the functioning of the system, incorrect calculations, etc.). This log is to include, at a minimum, the date, description of the problem and the initials of the person recording the information. Maintain the log as one continuous document or file (i.e., do not file with each day's work).
5. Maintain a log of customer complaints, if any, regarding the KCMS V3.14.5 cashless wagering system including the date, time and description of the complaint and the names of the individuals involved.
6. Maintain all jackpot/fill slips, vouchers, computer-generated reports, and computer storage media in accordance with Regulation 6.040(1). Also, maintain documentation evidencing the performance of all trial procedures (logs, checklists, calculator tapes, etc.).
7. Perform any other procedures necessary to ensure that employees are complying with documented internal control procedures and NGC regulations.