

BALLY GAMING  
Casino Management System (CMS) Version 7.2 with Patron Tracking  
Suggested Trial Procedures

1. Fill/Credit/Soft Count system:

Each day accounting or auditing personnel shall:

- a. Trace drop slips to the computer-generated stiff sheet.
- b. Foot requests for fills and credits and trace the totals to the computer-generated stiff sheet.
- c. Foot openers/closers and trace totals to the computer-generated stiff sheet.
- d. Trace individual openers/closers to the computer-generated stiff sheet.
- e. Trace a sample of requests for fills/credits to the computer-generated copy of the fills/credits.
- f. Ensure that all fills and credits were properly acknowledged and consummated.

2. Marker system:

Each day accounting or auditing personnel shall:

- a. Trace pit credit issues, in total, from the soft count verification to the computer-generated reports.
- b. Trace pit payments, in total, from the soft count verification to the computer-generated reports.
- c. For one shift per day, trace all pit credit issues to the mass marker transfer to ensure that all issues were properly transferred to the cage. (NOTE: If credit issues are transferred only once per day, all pit credit issues for the day must be traced to the mass marker transfer.)
- d. Trace a sample (5% of transactions) of credit issues, credit payments and checks cashed to the computerized patron history.
- e. Trace cage credit issues, in total, to the computer-generated reports.
- f. Trace front money receipts, in total, to the computer-generated reports.

- g. Trace returned checks, in total, to the computer-generated reports.
- h. Trace customer deposits/withdrawals, in total, from the manually-prepared cage documentation to the computer-generated reports.

3. Pit Reporting system:

Each day accounting or auditing personnel shall:

- a. Foot the fill/credit slips and trace totals to the system generated totals.
- b. On a sample basis verify the clerical accuracy of the hold percentage computations for the current, month-to-date and year-to-date periods by individual table.
- c. On a sample basis foot the system generated reports to verify the clerical accuracy of the reports.

4. Currency Counter Interface

- a. Soft count room key employees should provide close supervision of all count personnel.
- b. Accounting or auditing personnel shall compare the individual denomination totals and the grand total on the currency counter report to the totals recorded in the CMS system. Any discrepancies should be resolved prior to the generation/distribution of the table games statistical reports. Follow-up performed must be documented for subsequent Board review.

5. Player Tracking System:

Each day accounting or auditing personnel shall:

- a. Foot all points-redeemed documentation and trace to the system-generated totals. Please note that it will be necessary to print duplicate vouchers during the trial period in order to complete this step.
- b. Review all points-redeemed documentation for propriety.
- c. Review all reports noting exceptions (i.e., a large number of points credited to a patron's account, changes in card reader values, merging of accounts, deletions of members,

etc.). Any follow-up which is performed is to be documented for Board review.

6. General:

- a. Review exception/adjustment reports on a daily basis for propriety of transactions, changes in the system configuration and any other unusual occurrences.